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**Column closure studies of lower tropospheric aerosol and water vapor
during ACE-Asia using airborne sunphotometer, airborne in-situ
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Abstract

We assess the consistency (closure) between solar beam attenuation by aerosols and water vapor measured by airborne sunphotometry and derived from airborne in-situ, and ship-based lidar measurements during the April 2001 Asian Pacific Regional Aerosol Characterization Experiment (ACE-Asia). The airborne data presented here were obtained aboard the Twin Otter aircraft. Comparing aerosol extinction $\sigma_{\text{ap}}(550 \text{ nm})$ from four different techniques shows good agreement for the vertical distribution of aerosol layers. However, the level of agreement in absolute magnitude of the derived aerosol extinction varied among the aerosol layers sampled. The $\sigma_{\text{ap}}(550 \text{ nm})$ computed from airborne in-situ size distribution and composition measurements shows good agreement with airborne sunphotometry in the marine boundary layer but is considerably lower in layers dominated by dust if the particles are assumed to be spherical. The $\sigma_{\text{ap}}(550 \text{ nm})$ from airborne in-situ scattering and absorption measurements are about $\sim 13\%$ lower than those obtained from airborne sunphotometry during 14 vertical profiles. Lidar and the airborne sunphotometer measurements reveal the prevalence of dust layers at altitudes up to 10 km with layer aerosol optical depth (from 3.5 to 10 km altitude) of ~ 0.1 to 0.2 (500 nm). The airborne sunphotometer aboard the Twin Otter reveals a relatively dry atmosphere during ACE-Asia with all water vapor columns $< 1.5 \text{ cm}$.

1 Introduction

In spring when storm and frontal activity are at a maximum in Asia, industrial pollution, biomass burning and mineral dust outflows produce a very complex regional aerosol mix *Seinfeld et al.* [2002]. Of particular interest is the impact of the Asian regional aerosol on radiative fluxes at a variety of atmospheric levels (e.g., the surface, the top of the boundary layer,

the upper troposphere, the top of the atmosphere). These flux changes, when sustained over sufficient areas and times, are the radiative forcings that drive climate processes [e.g. *Kaufman et al.*, 2002, *Ramanathan et al.*, 2001]. However, regional forcing can only be assessed with any degree of certainty if the optical properties of the regional aerosol measured with various techniques from various platforms are mutually consistent.

In April 2001, the Asian Pacific Regional Aerosol Characterization Experiment (ACE-Asia) field studies involving multiple aircraft, ships, satellites, and surface sites obtained comprehensive measurements of combined mineral dust, pollutants and other aerosols [*Hiebert et al.*, 2002, *Seinfeld et al.*, 2002].

In this paper we assess the consistency (closure) between solar beam attenuation by aerosols and water vapor measured by airborne sunphotometry and derived from airborne in-situ, and ship-based lidar methods during the ACE-Asia field experiment. The airborne data presented in this study were obtained aboard the Twin Otter aircraft. A companion paper [*Redemann et al.*, 2002] discusses closure results obtained from the C-130 aircraft. Such closure studies have revealed important insights about aerosol sampling and inadvertent modification in such previous aerosol field experiments as the Tropospheric Aerosol Radiative Forcing Observational Experiment, TARFOX [*Hegg et al.*, 1997, *Hartley et al.*, 2000], the 2nd Aerosol Characterization Experiment, ACE-2 [*Collins et al.*, 2000, *Schmid et al.*, 2000]], the Indian Ocean Experiment, INDOEX [*Manolis et al.*, 2002] and the Southern African Regional Science Initiative, SAFARI 2000 [*Maggi et al.*, 2002].

2 Measurements

2.1 Airborne Measurements

2.1.1 The Twin Otter aircraft

The Twin Otter is operated by the Marina, California based Center for Interdisciplinary Remotely-Piloted Aircraft Studies (CIRPAS) [Bluth *et al.*, 1996]. The CIRPAS UV-18A Twin Otter is the military version of the DeHavilland DHC-6-300. Between March 31 and May 1, 2001, the Twin Otter performed 19 research flights out of Iwakuni Marine Corps Air Station, near Hiroshima, Japan (34.15°N, 132.23°E, 0 m). For the ACE-Asia campaign the maximum flight altitude was about 3.8 km.

The aircraft position (i.e., geographical latitude and longitude and altitude above sea level) was determined using onboard Global Positioning System (GPS) receivers. In order to have accurate time stamps the Payload Data Management System time was synchronized with GPS time. Sensors aboard the Twin Otter measured static temperature T , static pressure p , and dewpoint temperature T_d . For comparison with the airborne sunphotometer water vapor retrievals, we computed the water vapor density ρ_w as a function of T , p , and T_d using an expression given by Bögel (1977).

2.1.2 Aerosol extinction from airborne sunphotometry

The NASA Ames Airborne Tracking 14-channel Sunphotometer (AATS-14) measures the transmission of the direct solar beam in 14 spectral channels (354 to 1558 nm). AATS-14 is an enhanced version of the AATS-6 instrument [Matsumoto *et al.*, 1987], which flew on the C-130 aircraft during ACE-Asia [Redemann *et al.*, 2002].

AATS-14 azimuth and elevation motors, controlled by tracking-error signals derived from a quad-cell photodiode, rotate a tracking head to lock on to the solar beam and keep detectors normal to it. The tracking head is mounted outside the aircraft skin to minimize blockage by aircraft structures and to avoid data contamination by aircraft-window effects. Window defogging is achieved by a foil heater. Each channel consists of a baffled entrance path, interference filter, photodiode detector, and integral preamplifier. The filter/detector/preamp sets are temperature controlled to avoid thermally induced calibration changes.

AATS-14 is designed to operate on a variety of aircraft, some of which may be remotely piloted. It can locate and track the sun without input from an operator and record data in a self-contained data system. In addition, it must interface to an aircraft-provided data system, and receive and execute commands from a remote operator station (laptop), and transmit science and instrument-status data to that station. Using aircraft-provided data on latitude, longitude and ambient static pressure, aerosol (or particulate) optical depth $\tau_p(\lambda)$ and columnar water vapor CWV are computed in real-time and displayed at the operator station (along with raw data, instrument status, and aircraft-provided data). Radiometric calibration is determined from Langley plots [Schmid and Wehrli, 1995], either at high-mountain observatories or during specially designed flights [Schmid *et al.*, 2000].

AATS-14 made its first science flights on the CIRPAS Pelican (modified Cessna) aircraft during the Tropospheric Aerosol Radiative Forcing Observational Experiment (TARFOX) in July 1996 [Russell *et al.*, 1999a,b]. More extensive flights on the Pelican were made in ACE-2, which provided many measurements of marine, European pollution, and African dust aerosol optical depth spectra, as well as water vapor columns [Schmid *et al.*, 2000]. Operating aboard the University of Washington's Convair-580 research aircraft, AATS-14 provided detailed

measurements of African biomass burning aerosol during SAFARI 2000 [Schmid *et al.*, 2002]. In ACE-Asia, AATS-14 operated successfully during all 19 Twin Otter research flights. The tracking head was moved into its park position when flying through or under clouds.

Our methods for data reduction, calibration, and error analysis have been described previously [Russell *et al.*, 1993a; Schmid and Wehrli, 1995; Schmid *et al.*, 1998 and 2001]. A brief summary is given here. The AATS-14 channels are chosen to allow separation of aerosol, water vapor, and ozone transmission. From these slant-path transmissions we retrieve $\tau_p(\lambda)$ in 13 narrow wavelength bands and the columnar amounts of water vapor and ozone. In addition to the corrections for Rayleigh scattering and O₃ absorption, some channels require corrections for NO₂, H₂O and O₂-O₂ absorption. Cross-sections were computed using LBLRTM 6.01 [Clough, and Iacono, 1995] with the CKD 2.4.1 continuum model using the HITRAN 2000 (v 11.0) line-list [Rothman *et al.*, 2001, 2002] (including an update for water vapor from 04/2001, see <http://www.hitran.com/hitran/updates.html>). NO₂ cross-sections not included in LBLRTM 6.01 were taken from Harder *et al.* [1997]. NO₂ was assumed constant at 2×10^{13} molecules cm⁻².

The ACE-Asia AATS-14 data set consists of 13 wavelengths (354, 380, 449, 499, 525, 606, 675, 778, 864, 1019, 1059, 1241, and 1558 nm) at which we retrieve $\tau_p(\lambda)$ and the 940-nm wavelength, which we use to determine CWV [Schmid *et al.*, 2001].

AATS-14 was calibrated at the Mauna Loa Observatory (MLO), Hawaii, two months before and one month after the ACE-Asia campaign using the Langley plot technique [Schmid and Wehrli, 1995]. As a result of band-pass filter degradation, the calibration constants obtained from the post-mission calibration were lower than those obtained from the pre-mission calibration. However, for eight of the 14 wavelengths the change was only 0.5% or less. The other six channels had degraded by 0.9 to 3.2%. Note that

$$\Delta\tau_p(\lambda) = -\frac{1}{m} \frac{\Delta V_0(\lambda)}{V_0(\lambda)} \quad (1)$$

with

$$m \approx \frac{1}{\cos \theta} \quad (2)$$

Hence a relative uncertainty of 1% in the calibration constant V_0 will lead to an absolute uncertainty in the aerosol optical depth $\Delta\tau_p(\lambda)$ of 0.01 for a solar zenith angle $\theta = 0^\circ$. To determine the most plausible set of calibration constants applicable to the ACE-Asia data set we inspected $\tau_p(\lambda)$ spectra measured during higher altitude legs (typically around 3.5 km). We focused on the days with the lowest $\tau_p(\lambda)$ (0.03 to 0.14 at 499 nm, at around 3.5 km altitude). This resulted in 14 spectra taken during 13 flights. It should be noted that although these were the lowest $\tau_p(\lambda)$ we observed at 3.5 km the values are well above a typical background (during the February and June 2001 calibrations at MLO at about the same altitude above sea level we found $0.007 < \tau_p(499 \text{ nm}) < 0.023$), revealing the prevalence of dust layers above that altitude. Leaving the calibration constants of the eight most stable channels unchanged we then adjusted the calibration constants of the other five aerosol wavelengths in such a fashion that the retrieved $\tau_p(\lambda)$ yielded "smooth" $\tau_p(\lambda)$ spectra for all 14 high-altitude cases. In all channels the adjusted calibration constants were within the bounds of pre- and post-mission calibration. This procedure also revealed that the 606-nm channel (which degraded most, 3.2%) must have degraded in a step-wise fashion, leading us to use one value of V_0 for flights 1 through 9 and a lower value for the remaining flights. Due to this large transmission loss of the 606-nm bandpass filter (the AATS-14 channel most sensitive to ozone) and hence large calibration uncertainty, the ozone

retrieval was turned off for the results shown here and the total column ozone values were taken from the Total Ozone Mapping Spectrometer (TOMS) on the Earth Probe satellite.

The total uncertainty of the retrieved $\tau_p(\lambda)$, due to uncertainties in calibration, sun-tracking, signal measurement, airmass computation, and corrections of molecular scattering and absorption, was computed following the procedures given by *Russell et al.* [1993a]. The uncertainty in CWV was computed following *Schmid et al.* [1996]. During ACE-Asia, AATS-14 data were recorded every 4 seconds consisting of an average of 9 samples taken in the first 3 of the 4 seconds. The sample standard deviation of all science detector outputs is also stored in the data files. These standard deviations were used in our cloud-screening algorithm that is based on clouds exhibiting higher standard deviations than clear sky.

The Twin Otter was able to fly as low as 20 m above the ocean surface, thus allowing measurement of the entire overlying atmospheric column. Flying at different altitudes over a fixed location allows derivation of layer $\tau_p(\lambda)$ or CWV. Differentiation of $\tau_p(\lambda)$ or CWV data obtained in vertical profiles allows derivation of spectral aerosol extinction $\sigma_{sp}(\lambda)$ and water vapor density ρ_w .

Because sunphotometers have a nonzero field of view (FOV), they measure some diffuse light in addition to the direct solar beam. As a result, uncorrected sunphotometer measurements can overestimate direct-beam transmission and hence underestimate $\tau_p(\lambda)$. For most aerosol conditions and sunphotometer FOVs these effects are negligible. For example, *Eck et al.* [1999] report that for the AERONET sun/sky radiometers, which have FOV half-angle 0.6°, the diffuse-light correction to apparent $\tau_p(\lambda)$ is <0.7% of $\tau_p(\lambda)$, even for desert dust with effective (area-weighted) radius as large as 1.75 μm . The Ames Airborne Tracking Sunphotometers, AATS-6 and -14, are designed and built with a relatively large FOV (measured half-angle 1.85°) to help

keep the full solar disk in view when sun-tracking during aircraft maneuvers. This larger FOV makes it necessary to assess quantitatively the diffuse light effects on AATS-derived $\tau_p(\lambda)$ when large particles are dominant. We have previously done this for postvolcanic stratospheric aerosols [*Russell et al.*, 1993a,b] and for the Saharan dust encountered in the Puerto Rico Dust Experiment (PRIDE) [*Livingston et al.*, 2002].

To quantify the diffuse light effects for the aerosols prevalent during ACE-Asia we used the analytical formulation of *Shiobara and Asano* [1994] and *Kinne et al.* [1997] to calculate $\tau_p(\lambda)$ correction factors

$$C = \tau_p(\lambda) / \tau_p(\lambda) \quad (3)$$

where $\tau_p(\lambda)$ is apparent (uncorrected) $\tau_p(\lambda)$. Our calculations used the AATS-14 FOV (half-angle 1.85°) and aerosol scattering phase functions derived both from (1) size distributions and compositions measured on the Twin Otter in ACE-Asia [*Wang et al.*, 2002] and (2) size distributions and complex refractive indices retrieved from Sun and sky radiance measurements by AERONET stations [*Holben et al.*, 1998, *Dubovik et al.*, 2002] in the ACE-Asia region during Spring 2001.

We found that the correction factors were well correlated with Ångström exponent

$$\alpha(\lambda_1, \lambda_2) = -\ln[\tau_p(\lambda_1)/\tau_p(\lambda_2)] / \ln(\lambda_1/\lambda_2), \quad (4)$$

and that the correlation improved as wavelengths λ_1 and λ_2 increased. (Evidently this is because longer wavelengths are more sensitive to the larger particles in a distribution, and the larger particles are responsible for the diffuse light effects). Scatter plots of $C-1$ vs α were well fitted by exponentials of the form

$$f = C-1 = A \exp(-B\alpha). \quad (5)$$

Hence we corrected each individual $\tau_p(\lambda)$ measurement using the wavelength dependent correction factor f with α (using $\lambda_1 = 1020$ nm and $\lambda_2 = 1558$ nm) of the overlying aerosol column as input. The correction factor f decreases with increasing wavelength. For the shortest AATS-14 wavelength (354 nm), 90% of all τ_p had to be corrected by less than 6%, with 60% of all τ_p requiring less than 4% correction. To illustrate, a 4% correction to $\tau_p = 0.3$ is 0.012.

2.1.3 Aerosol extinction from airborne scattering and absorption measurements

Light-scattering data were obtained from four integrating nephelometers aboard the Twin Otter. One of these was a TSI model 3563 three wavelength (450, 550, 700 nm), integrating nephelometer and the other three were Radiance Research (RR) model 903 single wavelength (550 nm) nephelometers. All four nephelometers were calibrated against particle-free air and CO₂ prior to the field deployment and zeroed with particle-free air before each flight. All of the nephelometers sampled from a shrouded intake whose nominal 50% cutoff diameter was determined to be 8 μ m (determined by comparison of cross- calibrated interior and exterior FSSP-100 optical probes [Gao *et al.*, 2002]). The three model 903 nephelometers were operated at relative humidities (RH) near ambient, ca. 30% below ambient and near 85%. The TSI nephelometer was operated ca. 30% below ambient as well. The RR nephelometer operating closest to ambient RH was selected as the light-scattering signal and corrected for truncation and illumination as suggested by *Anderson and Ogren* [1998] utilizing the Ångström coefficient derived from the TSI nephelometer but an angular truncation based on actual measurements of the scattering geometry of the RR nephelometers.

The hygroscopic behavior of the aerosol was determined from the three RR nephelometers operating at different RH. The dependence of light-scattering on RH, $f(\sigma_p(RH))$, was parameterized by the exponent of equation (6), based on the work of *Kasten* [1969] (see also

Gassó et al., [2000]).

$$\sigma_p(RH) = \sigma_p(RH_0) \left(\frac{100 - RH}{100 - RH_0} \right)^{-\gamma} \quad (6)$$

where the zero subscript refers to some low, reference RH, and the exponent, γ , for the measured dependence of light-scattering on RH, is determined by fitting the data to equation (6) as in *Gassó et al.* [2000]. We then utilize γ to correct the RR nephelometer scattering signal to the measured ambient RH.

Aerosol light absorption was also measured using an absorption photometer (model PSAP) made by Radiance Research (Seattle, WA) utilizing the data reduction scheme of *Bond et al.* [1999]. Because the absorption was measured just downstream of the TSI nephelometer, it was measured under sub-ambient RH (a nominal 30% below ambient). However, following *Hegg et al.* [1997], no correction was made for the higher RH of the ambient air since experimental data for such a correction are lacking. A modeling study by *Redemann et al.* [2001] suggests that absorption humidification factors are negligible for a wide range of atmospheric conditions.

Both the scattering and absorption signals were filtered to eliminate data points below the detection limit of the instruments (e.g., 0.003 km⁻¹ for the TSI nephelometer) and clearly erroneous values generated by intermittent dropouts of components of the instruments on which the overall signal is dependent (e.g., the RH sensors in the nephelometers). Furthermore, it was necessary to eliminate some of the PSAP data due to the sensitivity of the instrument to rapidly changing ambient pressure and instrument humidity, encountered during some of the spiral ascents and descents used to generate the vertical profiles of in situ parameters. After such filtering, the absorption and scattering signals were added together to produce the extinction coefficient at 550 nm.

The number of data points eliminated by the above filtering procedure was, in some cases, fairly high (~20%) and in all cases resulted in an irregular spacing of data points with respect to altitude. This presents a problem for vertical integration of the extinction coefficient to obtain the layer $\tau_p(\lambda)$ since normal Gaussian quadrature requires an even spacing of data points. Hence, the measured values of extinction were interpolated to a regularly spaced grid using a cubic interpolation algorithm. The *in situ* profiles shown in the analysis and compared to the sun photometer measurements are these interpolated profiles.

2.1.4 Aerosol extinction from airborne size distribution and composition measurements

Aerosol size distributions and chemical compositions were measured using differential mobility analyzers, an aerodynamic particle sizer, Micro-Orifice Uniform Deposit Impactors, and denuder samplers onboard the Twin Otter aircraft as part of the ACE-Asia campaign [Wang *et al.*, 2002]. Of the 19 research flights, measurements on 4 flights that represented four specific and different aerosol characteristics were analyzed in detail by Wang *et al.* [2002]. They compared $\sigma_p(\lambda)$ predicted using *in situ* aerosol size distribution and chemical composition measurements to those derived from AATS-14. For this paper we have rerun these computations for $\lambda=550$ nm, and we put them in the additional context of $\sigma_p(\lambda)$ derived from airborne scattering and absorption measurements, and from ship based lidar measurements.

2.2 Ship-borne measurements

2.2.1 Aerosol extinction from ship-borne lidar measurements

The Micro-Pulse Lidar (MPL) [Spirhne *et al.*, 1995] is a single channel (523 nm), autonomous, eye-safe lidar system originally developed at the NASA Goddard Space Flight Center and is now commercially available. MPLNET [Welton *et al.*, 2001] is a worldwide

network of ground-based MPL systems, co-located with AERONET Sun/sky radiometers [Holben *et al.*, 1998]. The MPL is used to determine the vertical structure of clouds and aerosols. The MPL data are analyzed to produce optical properties, such as extinction and optical depth profiles of clouds and aerosols. During ACE-Asia, an MPL system was operated aboard a ship - the R/V Ronald H. Brown.

The inversion of data from any conventional elastic backscatter lidar (such as an MPL) faces an inherently ill-posed problem, in that it requires the extraction of two unknowns (extinction and 180° backscatter coefficients) from one measurement (the attenuated 180° backscatter signal) [Ackermann, 1998; Murayama *et al.*, 2002]. In the standard MPL-Net processing, the lidar-derived $\sigma_p(523$ nm) profile is obtained by the Fernald [1984] backward two-component algorithm assuming an altitude-independent lidar ratio, S_p , (σ_{sp} divided by 180° backscatter) and constrained by the total column $\tau_p(523$ nm) measured with the AERONET Sun/sky radiometer at the MPLNET site. For MPLNET measurements carried out on ships, $\tau_p(523$ nm) is obtained from ship borne sunphotometers [Miller *et al.*, 2002].

3 Results

3.1 AATS-14 vertical profiles

During ACE-Asia, AATS-14 measured numerous vertical profiles of $\tau_p(\lambda)$ and CWV. After discarding profiles influenced by considerable spatial inhomogeneity or overlying clouds we derived spectral aerosol extinction $\sigma_p(\lambda)$ and water vapor density ρ_w for 26 profiles by differentiating the $\tau_p(\lambda)$ and CWV profiles. The locations of the 26 profiles are shown on the map in Figure 1. Geographically they can be grouped in four areas: ascents or descents out of or into Iwakuni airport, and profiles south of Shikoku Island, just east of Jeju Island, and north of

Oki Island. Figure 2 shows a selection of 16 $\tau_p(\lambda)$ vertical profiles. Figure 3 shows the corresponding $\sigma_{sp}(\lambda)$ profiles. The profiles of CWV for the same 16 cases and the corresponding ρ_w profiles are depicted in Figure 4 and Figure 5. To facilitate comparisons we plotted all profiles on the same scale. Gaps in the $\tau_p(\lambda)$ or CWV vertical profiles are caused by temporary blockage of the direct solar beam by aircraft structures (tail, antennas) or overlying clouds. In the case of thin overlying clouds determination of CWV may still be possible (see frame k in Figure 2 and Figure 4).

Most vertical profiles were acquired within 20 minutes of flight time. Occasionally, $\tau_p(\lambda)$ or CWV decreased (increased) when the plane descended (ascended). In a horizontally homogeneous, time-invariant atmosphere, this would be impossible. However, in the real atmosphere it can occur because (1) the sunphotometer can only measure the transmittance of the sunphotometer-to-sun path, (2) that path in general passes through a horizontally inhomogeneous, time-varying atmosphere, and (3) the path and the atmosphere move with respect to each other as the aircraft moves and the wind blows. Before the sunphotometer $\tau_p(\lambda)$ or the CWV profile is vertically differentiated to obtain $\sigma_{sp}(\lambda)$ or ρ_w , it has to be smoothed (in a non-biased manner) to eliminate increases in $\tau_p(\lambda)$ or CWV with height. In this study we first averaged the $\tau_p(\lambda)$ or CWV values over 20-m altitude bins and then used smoothed spline fits for this purpose. However, to avoid over-smoothing at altitudes that exhibit actual variations of $\tau_p(\lambda)$ or CWV we occasionally allow $\sigma_{sp}(\lambda)$ or ρ_w to become slightly negative, as can be seen in Figure 3 and Figure 5.

The $\tau_p(\lambda)$ profiles in Figure 2 show that the bulk of the optically active aerosols reside below 2 km. However in many cases the aerosol loading above the ceiling of the Twin Otter is significant (e.g. $\tau_p(499 \text{ nm}) \sim 0.2$ in frame f). The $\sigma_{sp}(\lambda)$ profiles in Figure 3 show a considerable

amount of layering. When combined with the ρ_w profiles in Figure 5 the vertical extent of the marine boundary layer (MBL) can be identified to be 1-2 km. While in many instances the layering of $\sigma_{sp}(\lambda)$ and ρ_w is similar, significant exceptions do occur (e.g. frames j and n).

The water vapor results in Figure 4 and Figure 5 reveal a relatively dry atmosphere during ACE-Asia Twin Otter flights, with all CWV < 1.5 cm and $\rho_w < 12 \text{ g/m}^3$. The C-130 aircraft encountered similarly dry conditions with the exception of a flight on April 30, 2001 [Redemann, *et al.*, 2002]. The Twin Otter did not fly on that day.

Several studies have found that

$$\ln \tau_p(\lambda) = a_0 + a_1 \ln \lambda + a_2 (\ln \lambda)^2 \quad (7)$$

yields a very good fit to $\tau_p(\lambda)$ or $\sigma_{sp}(\lambda)$ spectra [King and Byrne, 1976, Michalsky *et al.*, 1995, Eck *et al.*, 1999, Schmid *et al.*, 2002]. The spectral parameters α^* and γ shown in Figure 6 and Figure 7 were obtained by fitting (7) to each AATS-14 $\tau_p(\lambda)$ or $\sigma_{sp}(\lambda)$ spectrum, where $\alpha^* = a_1$ and $\gamma = -a_2$. In fact, (7) is an extension of the traditional Ångström law

$$\tau_p(\lambda) = \beta \lambda^{-\alpha} \quad (8)$$

where the wavelength exponent α is related to the aerosol size distribution (see e.g. Schmid *et al.* [1997]. Typically $-0 < \alpha \leq 1$ is found for larger particles and $1 < \alpha \leq 2$ for smaller particles. However, we often find (8) to be a poor representation of $\tau_p(\lambda)$, as did the studies mentioned above. Hence, we prefer to use (7), where α^* is still related to aerosol size and γ describes the curvature of the spectrum (in log-log space) or deviations from (8). Of course, if $\gamma = 0$ then (7) reduces to (8) and $\alpha^* = \alpha$.

In the vertical profiles of α^* and γ derived from $\tau_p(\lambda)$ (Figure 6) we generally observe a decrease of α^* with altitude. This suggests that with increasing altitude $\tau_p(\lambda)$ of the overlying

column is increasingly dominated by larger (dust) particles. Typically, the $\tau_p(\lambda)$ spectra are curved concavely ($\gamma < 0$) at lower altitudes and not curved or convexly curved ($\gamma = 0$ or $\gamma > 0$) at higher altitudes.

The vertical profiles of α_{sp}^* and γ_{sp} derived from $\sigma_{sp}(\lambda)$ (Figure 7) show considerably more variation in absolute magnitude (notice change of scale from Figure 6). It can be observed that in the MBL $\gamma_{sp} < 0$ and $-0.5 < \alpha_{sp}^* < 2$, whereas in the free troposphere (FT) often $\gamma_{sp} \equiv \alpha_{sp}^* \equiv 0$. In fact we find $\gamma_{sp} \equiv \alpha_{sp}^* \equiv 0$ to be a good indicator for layers dominated by dust particles (most obvious in frames f and h). This is corroborated by comparison to in-situ size distribution and composition data measured on the Twin Otter [Wang *et al.*, 2002], light scattering data measured aboard the C-130 [Anderson *et al.*, 2002] and on the ground (see below), and also by polarized lidar measurements at several ACE-Asia sites [Sugimoto *et al.*, 2002]. As an example, AATS-14 recognizes the layer above 2.2 km on April 17 (frame h) as dust aerosol. The C-130 aircraft flew an ascent from near the surface to 5 km at the same location (i.e. location of the R/V Brown) two hours after the Twin Otter profile. The C-130 nephelometer data [Anderson *et al.*, 2002; S. Maxonix, personal communication, 2002] show sub- μm fraction of scattering values

$$FF_p = \frac{\sigma_p(D_{aero} \leq 1\mu\text{m})}{\sigma_p(D_{aero} \leq 10\mu\text{m})} \quad (9)$$

as low as ~ 0.1 between ~ 2.5 and 3.25 km clearly identifying that layer as dust.

In the profiles obtained from AATS-14 the layers dominated by dust are usually found in the FT. An exception is the profile in frame c (April 12, flown east of Jeju island), where the AATS-14 data suggest that the aerosol above 1.8 km must be dominated by small pollution or biomass burning particles whereas the dust was mixed into the MBL all the way to the surface. This is confirmed by the sub- μm fraction of scattering, FF_p , and Ångström exponent α_{sp} obtained from

ground-based nephelometer measurements at Gosan on Jeju Island. As shown in Figure 8, the measurements on April 12 led to much lower values for both FF_p and α_{sp} than during any of the other three Twin Otter vertical profiles (frames b, g and h in Figure 7) flown in the vicinity of Gosan. The data in Figure 8 and other data from Gosan indicate that the dust reached the surface around 3 UT on April 10 and persisted until about 0 UT on April 14. Researchers at Gosan report that during this period the loading at the surface was so heavy that the yellow dust had to be cleaned from the car windshields before driving.

Further corroboration of the different layering of dust and pollution aerosol comes from lidar depolarization observations performed in Nagasaki [Sugimoto *et al.*, 2002], located east of the locations of the four Twin Otter vertical profiles discussed in this section (see map in Figure 1).

3.2 Water vapor closure

In Figure 5 we compare 16 (of 26) vertical profiles of ρ_w obtained from AATS-14, and two T_d sensors aboard the Twin Otter: an EdgeTech 137-C3 chilled mirror and a Vaisala HMP 243 humidity sensor. In general, ρ_w from the two in-situ sensors agrees very well, but the EdgeTech instrument is insensitive to $T_d < -35^\circ\text{C}$, and the Vaisala sensor bottoms out at $T_d = -40^\circ\text{C}$. This behavior of the EdgeTech sensor can be observed in Figure 5 (frames k and m).

A comparison of ρ_w and layer water vapor LWV from all 26 vertical profiles is shown in the form of scatter plots in Figure 9. Although the correlation between AATS-14 and the two in-situ sensors is high, AATS-14 systematically underestimates ρ_w and LWV, and leads to regression line slopes of 0.94 and 0.88 , respectively. In light of the current controversy regarding H_2O spectroscopy in the near infrared [see e.g. Rothman *et al.*, 2001 and 2002], which entails discussion about the appropriateness of the H_2O CKD continuum used here [Zhong *et al.*, 2002], a disagreement at the level found here is not surprising. However, the corresponding water vapor

closure study by Redemann *et al.* [2002] using AATS-6 and in-situ data from the C-130 revealed no systematic difference. Since we are using the same method, spectroscopy, and continuum to derive water vapor for both AATS-6 and AATS-14, the ACE-Asia results seem to point to a discrepancy, which we are currently trying to resolve.

3.3 Aerosol extinction closure from AATS-14 and in-situ measured scattering+absorption

A comparison of aerosol extinction $\sigma_{\text{ext}}(\lambda)$ vertical profiles from AATS-14 and the sum of scattering and absorption from nephelometers and PSAP instruments was possible for 14 ACE-Asia Twin Otter profiles. These 14 profiles are shown in Figure 10. To facilitate visual comparison with previous figures we used the same ordering of frames. The AATS-14 $\sigma_{\text{ext}}(\lambda)$ at 550 nm was interpolated using eq (7).

A comparison of $\sigma_{\text{ext}}(550 \text{ nm})$ and layer $\tau_p(550 \text{ nm})$ from the 14 vertical profiles is shown in the form of scatter plots in Figure 11 and Figure 12. The $\sigma_{\text{ext}}(550 \text{ nm})$ comparison yields 3663 data pairs covering a range of $0 \leq \sigma_{\text{ext}}(550 \text{ nm}) \leq \sim 0.3 \text{ km}^{-1}$. Since in such a comparison there are uncertainties in both variables (x and y) we used the least squares bi-sector method [Sprent and Dolby, 1980] to determine the regression line. This led to a slope of $0.873 (\pm 0.003)$ and a small intercept of $0.003 (\pm 0.001)$. The layer $\tau_p(550 \text{ nm})$ values shown in Figure 12 are computed by vertically integrating $\sigma_{\text{ext}}(550 \text{ nm})$ over the altitude range where both AATS-14 and the in-situ measurements are available. Error bars of $\tau_p(\lambda)$ for AATS-14 are based on horizontal distance spanned by a profile, combined with average horizontal variability of $\tau_p(\lambda)$ in ACE-Asia flights. Error bars of $\tau_p(550 \text{ nm})$ from Nephelometer and PSAP are based on instrument performance and uncertainties of corrections applied. Using the least squares bi-sector method to determine the regression line led to a slope of $0.747 (\pm 0.096)$ and an intercept of $0.035 (\pm 0.024)$. Inspection

of Figure 12 reveals that the slope is heavily influenced by the disagreement found in the two cases with the largest $\tau_p(550 \text{ nm})$, corresponding to frames f and h in Figure 10. We therefore believe that the slope of $0.873 (\pm 0.003)$ found with the $\sigma_{\text{ext}}(550 \text{ nm})$ comparison represent a better measure of the overall agreement.

Further inspection of Figure 12 reveals that of the 14 cases, 6 show disagreement larger than the error bars. Of these 6, the in-situ layer $\tau_p(550 \text{ nm})$ is smaller than the AATS-14 layer $\tau_p(550 \text{ nm})$ in four cases (corresponding to frames b, c, f, and h in Figure 10) and larger in two cases (frames g and o). It appears that the differences are not correlated with the presence of larger (dust) particles (identified with the spectral parameters in Figure 7), which could present added difficulties to the in-situ measurement. While in frame f the Neph+PSAP $\sigma_{\text{ext}}(550 \text{ nm})$ are lower than the AATS-14 values in the elevated dust layer, the agreement is reasonable in the elevated dust layer in frame h. The disagreement in frame h is actually most pronounced in the pollution layer where the spectral parameters indicate small particles. Very similarly, we find disagreement in the MBL in frames b and c, but only in one case (frame c) is the MBL dominated by dust particles.

3.4 Comparison of aerosol extinction profiles from AATS-14 and ship-based MPL

On three occasions during ACE-Asia the Twin Otter flew a vertical profile at the ship's location. For these events we deviated somewhat from the standard MPL-Net processing. The lidar data were first averaged over the time period it took the Twin Otter to complete the vertical profile. The lidar-derived $\sigma_{\text{ext}}(523 \text{ nm})$ profile is then constrained by the total column $\tau_p(523 \text{ nm})$ and the $\tau_p(523 \text{ nm})$ at the top of the Twin Otter profile (both measured with AATS-14) with one lidar-ratio $S_p(523 \text{ nm})$ from sea level to the top of the Twin Otter profile and one $S_p(523 \text{ nm})$ for all altitudes above. Finally, we also computed implied $S_p(523 \text{ nm})$ (as a function of altitude),

representing the values that would be required to exactly reconcile the lidar return signal with the AATS14-measured extinction profile. We used the 525-nm AATS-14 channel with no adjustment for the small difference in wavelength. One Twin Otter/ship rendezvous was influenced by clouds and is thus not discussed here.

The results for the rendezvous on April 6 and 17, 2001 are shown in Figure 13 and Figure 14. Common to both cases is that aerosol with $\sigma_p(523 \text{ nm}) < 0.050 \text{ km}^{-1}$ (presumably dust) is detected at altitudes from 4 to 8 or even 10 km. Dust layers extending to similar altitudes were observed by lidars in Japan and instrumentation aboard the C-130 aircraft on April 23, 2001 [Murayama *et al.*, 2002, Redemann *et al.*, 2002, Sakai *et al.*, 2002].

On April 6, the two-lidar-ratio approach yields $S_p(523 \text{ nm}) = 34.2 \text{ sr}$ in the MBL sampled by the Twin Otter and $S_p(523 \text{ nm}) = 71 \text{ sr}$ in the FT. The implied $S_p(523 \text{ nm})$ increases from 23 to 55 sr with increasing altitude.

On April 17, the two-lidar-ratio approach yields $S_p(523 \text{ nm}) = 37.5 \text{ sr}$ in the altitude range sampled by the Twin Otter and $S_p(523 \text{ nm}) = 58.6 \text{ sr}$ above. The implied $S_p(523 \text{ nm})$ varies slightly around the value obtained with the two- S_p -approach. The C-130 aircraft flew an ascent from near the surface to 5 km at the ship location two hours after the Twin Otter profile shown here. Combining data from an integrating Nephelometer, a PSAP, and a 180°-backscatter nephelometer [Anderson *et al.*, 2000 and 2002] aboard the C-130 resulted in $S_p(532 \text{ nm}) \sim 45 \text{ sr}$ throughout the profile [S. Masonis, personal communication 2002]. This value however, has not yet been corrected for the fact that the in-situ measurements were performed at low relative humidity.

Murayama *et al.* 2002 and Sakai *et al.*, 2002 report $S_p(532 \text{ nm}) = 46.5 \pm 10.5 \text{ sr}$ and $46 \pm 5 \text{ sr}$ for an elevated dust layer (4-7 km) using Raman lidar night time observations on April 23 with in-situ measurements on the C-130 earlier in the day yielding $50.4 \pm 9.4 \text{ sr}$ (again at low RH).

In a future publication we plan to compare our implied $S_p(523 \text{ nm})$ with those computed from Twin Otter in-situ size distributions [Wang *et al.*, 2002] and from size distributions inverted from AATS-14 $\sigma_p(\lambda)$ spectra [Kusmanowski *et al.*, 2002, Schmid *et al.*, 2000].

3.5 Aerosol extinction closure or comparison from all four methods

In the following we discuss five cases where profiles of $\tau_p(\lambda)$ and $\sigma_p(\lambda)$ are available from at least three of the four methods discussed in this paper. All profiles are shown for $\lambda = 550 \text{ nm}$. The MPL data have been adjusted to that wavelength using the wavelength dependence of $\sigma_p(\lambda)$ found with AATS-14 (eq. (7)).

Figure 15 shows $\tau_p(550 \text{ nm})$ and $\sigma_p(550 \text{ nm})$ obtained from AATS-14, from the sum of scattering and absorption from Nephelometers and PSAP instruments, and from MPL during a Twin Otter vertical profile over the R/V Ron Brown on April 6, 2001. The Nephelometer+PSAP $\tau_p(550 \text{ nm})$ profile is anchored to the AATS-14 $\tau_p(550 \text{ nm})$ at the top of the profile. The AATS-14 $\tau_p(550 \text{ nm})$ error bars are the measurement errors computed according to Russell *et al.* [1993a] and do not account for spatial variability. Error bars of $\sigma_p(550 \text{ nm})$ for AATS-14 are based on horizontal distance spanned by the profile, combined with average horizontal variability of $\tau_p(\lambda)$ in ACE-Asia flights. The MPL data is processed using the two lidar-radio approach described earlier. As shown in Figure 13, a lidar ratio of 23 sr is needed to bring the MPL in agreement with AATS-14 near the surface. An even lower value would be needed to force agreement with the Nephelometer+PSAP data.

Figure 16 shows $\tau_p(550 \text{ nm})$ and $\sigma_{sp}(550 \text{ nm})$ obtained from AATS-14, from the sum of scattering and absorption from Nephelometers and PSAP instruments and computed from size distributions during a vertical profile on April 14, 2001. The Nephelometer+PSAP $\sigma_{sp}(550 \text{ nm})$ are considerably lower in the elevated dust layer and in the MBL. $\sigma_{sp}(550 \text{ nm})$ computed from size distributions follows the Nephelometer+PSAP curve in the dust but is closer to AATS-14 in the MBL.

Figure 17 shows $\tau_p(550 \text{ nm})$ and $\sigma_{sp}(550 \text{ nm})$ obtained from AATS-14, Nephelometer+PSAP, computed from size distributions, and from MPL during a Twin Otter vertical profile over the R/V Ron Brown on April 17, 2001. Compared to AATS-14 the computed $\sigma_{sp}(550 \text{ nm})$ is again significantly lower in the elevated dust layer but agrees well for most of the MBL. Nephelometer+PSAP $\sigma_{sp}(550 \text{ nm})$ are slightly less than AATS-14 in the dust and significantly less in the MBL. The Nephelometer+PSAP also shows a layer near 3.3 km, which is not confirmed by the other three instruments

Figure 18 and Figure 19 show $\tau_p(550 \text{ nm})$ and $\sigma_{sp}(550 \text{ nm})$ obtained from AATS-14, and Nephelometer+PSAP data, and computed from size distributions for vertical profiles on April 19 and 23, 2001. In both cases there is good agreement among all three methods in terms of $\sigma_{sp}(550 \text{ nm})$ and integrated $\tau_p(550 \text{ nm})$.

4 Summary and Conclusions

We assess the consistency (closure) between solar beam attenuation by aerosols and water vapor measured by airborne sunphotometry and derived from airborne in-situ, and ship-based lidar measurements during the ACE-Asia field experiment. The airborne data presented here were obtained aboard the Twin Otter aircraft. A companion paper [Redemann *et al.*, 2002] discusses closure results obtained from the C-130 aircraft.

We have analyzed 26 lower tropospheric vertical profiles of $\tau_p(\lambda)$, CWV, $\sigma_{sp}(\lambda)$ and ρ_w obtained with AATS-14 over the ocean around Japan. A common feature is that often $\tau_p(499 \text{ nm}) \sim 0.1$ (total range 0.03–0.2) at $\sim 3.5 \text{ km}$ altitude revealing the prevalence of elevated dust layers. In fact, MPL retrievals from the ship (April 6 and 17) show dust layers extending to 10 km altitude. From the combined MPL/AATS-14 analysis we find the dust above 4 km to have $S_p(523 \text{ nm})$ 59–71 sr, which is slightly higher than values found with Raman lidar and in-situ measurements on a different day (April 23) [Murayama *et al.*, 2002; Sakai *et al.*, 2002].

We find the spectral shape of $\sigma_{sp}(\lambda)$ to be a good indicator of particle size, with dust layers exhibiting an almost flat, slightly convexly curved spectrum.

The Twin Otter water vapor results reveal a relatively dry atmosphere during ACE-Asia with all CWV $< 1.5 \text{ cm}$ and $\rho_w < 12 \text{ g/m}^3$. The C-130 aircraft encountered similarly dry conditions with the exception of a flight on April 30, 2001 [Redemann *et al.*, 2002]. Comparing LWV and ρ_w from AATS-14 to the same quantities measured with in-situ sensors leads to a high correlation ($r^2=0.96$) but AATS-14 tends to underestimate ρ_w by 7%. The corresponding water vapor closure study using AATS-6 and in-situ data from the C-130 revealed no systematic difference [Redemann *et al.*, 2002].

Fourteen profiles of $\tau_p(\lambda)$ and $\sigma_{sp}(\lambda)$ were analyzed in terms of aerosol closure between AATS-14 and the sum of scattering and absorption from Nephelometers and PSAP instruments. When comparing layer $\tau_p(\lambda)$, 6 (of 14) cases show disagreement larger than the error bars. The comparison of $\sigma_{sp}(\lambda)$ reveals that the Nephelometers and PSAP results are $\sim 13\%$ lower than AATS-14 results. We find no obvious correlation between the differences in $\sigma_{sp}(\lambda)$ and the size of the aerosols dominating the respective layers. The corresponding aerosol closure study using AATS-6 and Nephelometer+PSAP data from the C-130 led to slightly better agreement than

found here [Redemann *et al.*, 2002]. The level of agreement found in this closure study and in TARFOX [Hegg *et al.*, 1997; Hartley *et al.*, 2000] is similar. Better agreement was found during SAFARI-2000 [Maggi *et al.*, 2002], probably because the aerosol was dominated by small, spherical, relatively non-hygroscopic biomass burning particles and ambient RH was relatively low. The agreement found in ACE-2 was much poorer because the design of the aerosol inlet prevented the larger dust particles from being sampled. [Schmidt *et al.*, 2000].

Comparing $\sigma_{\text{eff}}(550 \text{ nm})$ from the four different techniques shows good agreement for the vertical distribution of aerosol layers. However, the level of agreement in absolute magnitude of the derived aerosol extinction varied among the aerosol layers sampled. The $\sigma_{\text{eff}}(550 \text{ nm})$ computed from size distribution and composition shows good agreement in the MBL but is considerably lower in layers dominated by dust. Wang *et al.* [2002] suggest that the discrepancy might be explained by the shape of the dust particles. They show that a nonspherical model of dust (doublet agglomerates) can produce agreement between predicted and observed extinction via the combined effect of larger APS-derived particle size and larger extinction-to-volume ratios. It is interesting to note that the Wang *et al.* [2002] study reproduces the shape of $\sigma_{\text{eff}}(\lambda=354\text{--}1558 \text{ nm})$ as measured by AATS-14, whereas the ACE-2 study of Collins *et al.* [2002] (using optical probes PCASP and FSPP instead of TDMA and APS used by Wang *et al.* [2002]) did not not reproduce $\sigma_{\text{eff}}(\lambda>1020 \text{ nm})$ as measured by AATS-14.

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7 Figures

Figure 1: Location of 26 aerosol and water vapor vertical profiles obtained with AATS-14 during ACE-Asia

Figure 2: Selection of $\tau_p(\lambda)$ vertical profiles from AATS-14 during ACE-Asia

Figure 3: Vertical profiles of $\sigma_{sp}(\lambda)$ derived from the $\tau_p(\lambda)$ profiles shown in Figure 2.

Figure 4: Vertical profiles of CWV for cases shown in Figure 2.

Figure 5: Vertical profiles of ρ_w from AATS-14, and EdgeTech and Vaisala in-situ sensors for the cases shown in Figure 4.

Figure 6: Vertical profiles of $\tau_p(\lambda)$ spectral parameters for profiles shown in Figure 2.

Figure 7: Vertical profiles of $\sigma_{sp}(\lambda)$ spectral parameters for profiles shown in Figure 3.

Figure 8: Sub- μm fraction of scattering, FF_{sp} (550 nm), and Ångström exponent α_{sp} (450, 700 nm) from sub-10- μm scattering, obtained from ground-based TSI model 3563 nephelometer measurements at Gosan on Jeju island (South Korea). Missing data on April 10 and 11 are due to a power outage in the measurement trailer. Vertical lines indicate times of Twin Otter vertical profiles flown just east of Jeju Island.

Figure 9: Comparison of water vapor density (upper row) and layer water vapor (lower row) from AATS-14, EdgeTech 137-C3 Chilled Mirror and Vaisala HMP 243 humidity sensor for 26 vertical profiles. Error bars are based on horizontal distance spanned by a profile, combined with average horizontal variability of CWV in ACE-Asia flights.

Figure 10: Vertical profiles of $\sigma_{sp}(550 \text{ nm})$ from AATS-14 and the sum of scattering and absorption from Nephelometers and PSAP instruments.

Figure 11: Comparison of $\sigma_{sp}(550 \text{ nm})$ from AATS-14 and the sum of scattering and absorption from Nephelometers and PSAP instruments for 14 profiles shown in Figure 10.

Figure 12: Comparison of layer $\tau_p(550 \text{ nm})$ from AATS-14 and the sum of scattering and absorption from Nephelometers and PSAP instruments for 14 profiles shown in Figure 10.

Figure 13: $\tau_p(\lambda)$, $\sigma_{sp}(\lambda)$ and lidar ratio obtained from AATS-14 and ship-based MPL during Twin Otter vertical profile over R/V Ron Brown on April 6, 2001.

Figure 14: Same as Figure 13 but for April 17, 2001.

Figure 15: $\tau_p(550 \text{ nm})$ and $\sigma_{sp}(550 \text{ nm})$ obtained from AATS-14 and the sum of scattering and absorption from Nephelometers and PSAP instruments and from MPL during a Twin Otter vertical profile over R/V Ron Brown on April 6, 2001.

Figure 16: $\tau_p(550 \text{ nm})$ and $\sigma_{sp}(550 \text{ nm})$ obtained from AATS-14, from the sum of scattering and absorption from Nephelometers and PSAP instruments and computed from size distributions during a Twin Otter vertical profile on April 14, 2001.

Figure 17: $\tau_p(550 \text{ nm})$ and $\sigma_{sp}(550 \text{ nm})$ obtained from AATS-14, from the sum of scattering and absorption from Nephelometers and PSAP instruments, computed from size distributions and from ship-based MPL during a Twin Otter vertical profile over R/V Ron Brown on April 17, 2001.

Figure 18: $\tau_p(550 \text{ nm})$ and $\sigma_{\text{ext}}(550 \text{ nm})$ obtained from AATS-14, from the sum of scattering and absorption from Nephelometers and PSAP instruments and computed from size distributions during a Twin Otter vertical profile on April 19, 2001.

Figure 19: Same as Figure 18 but for a Twin Otter vertical profile on April 23, 2001.

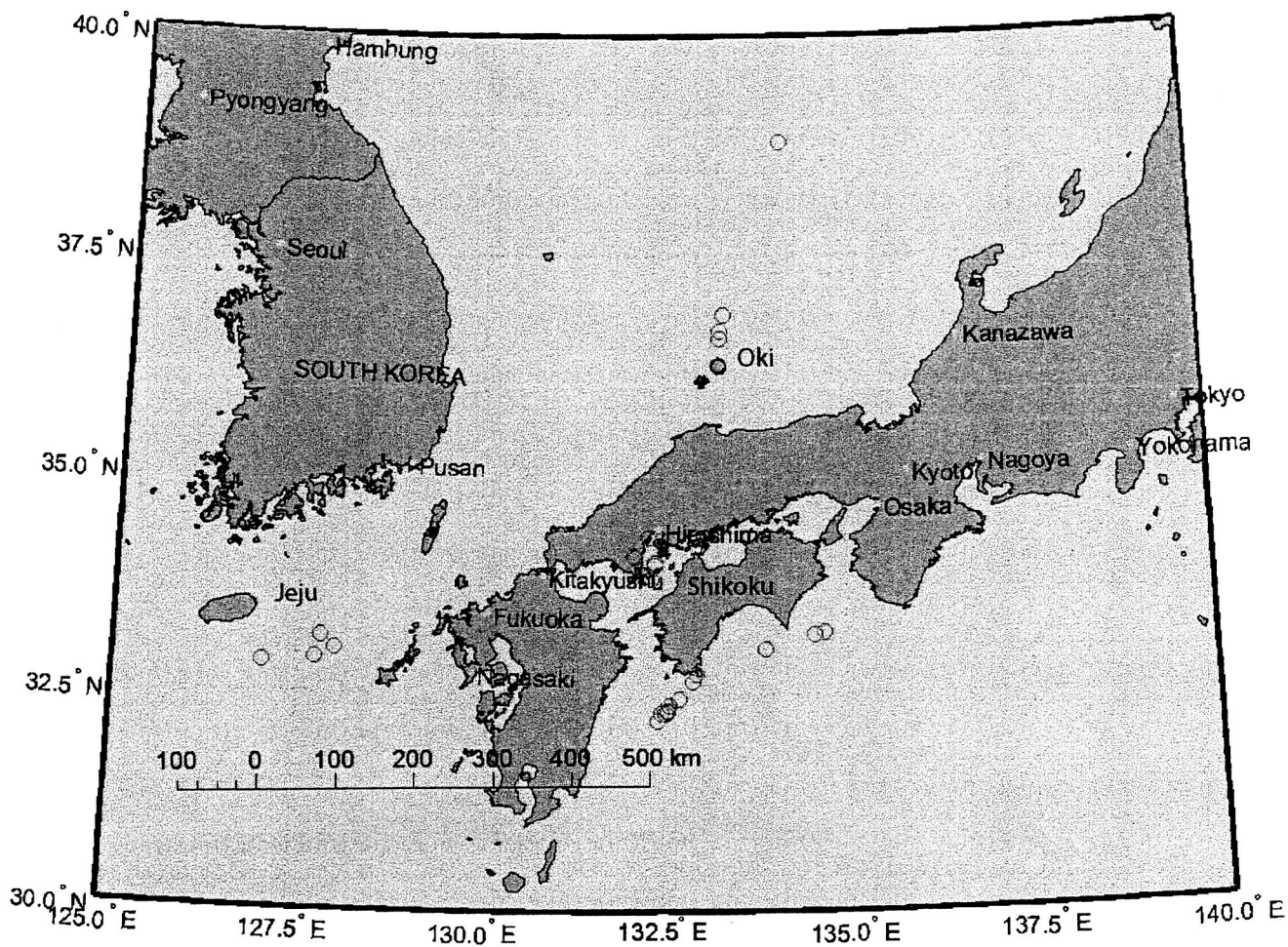


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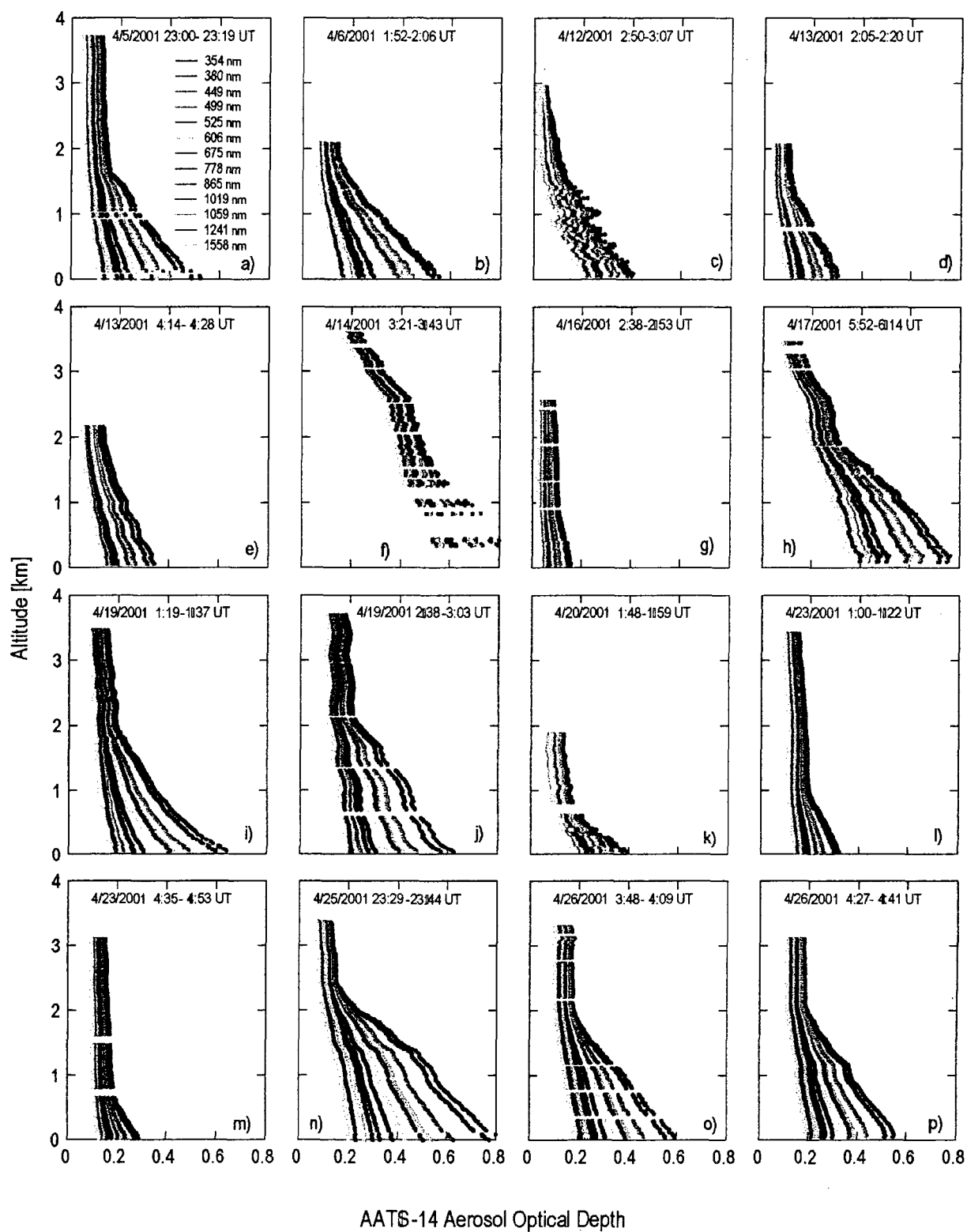


Fig 2

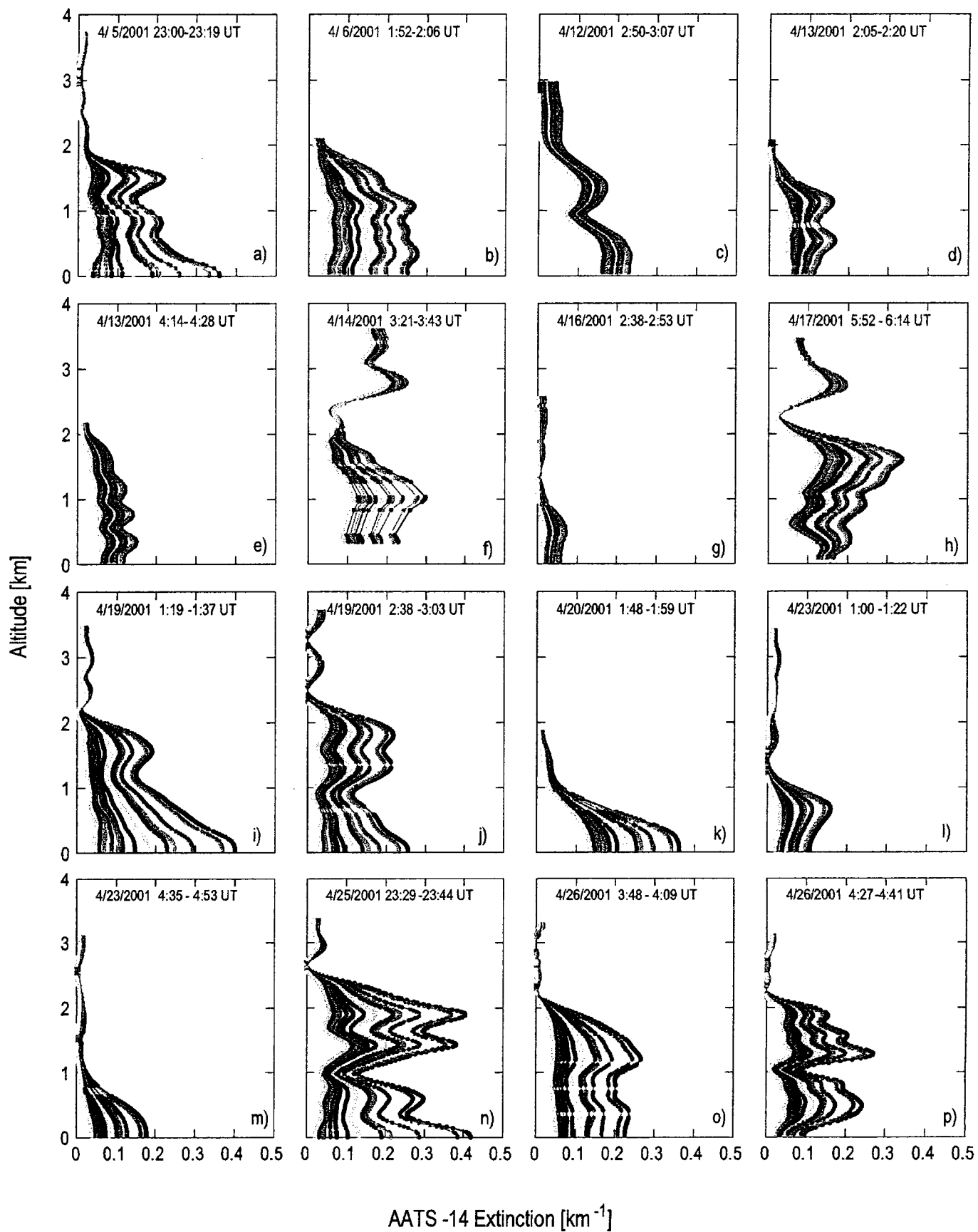


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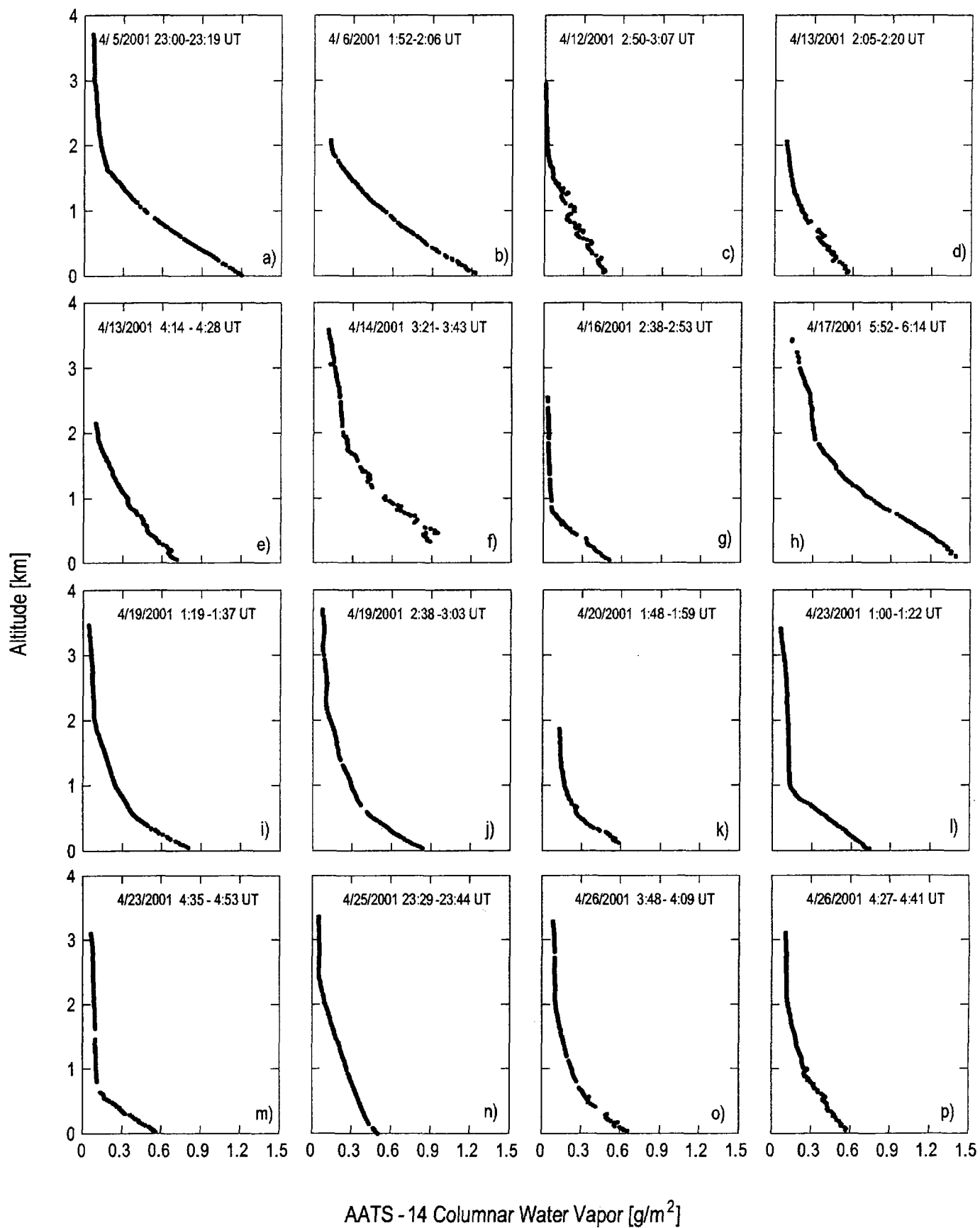


Fig 4

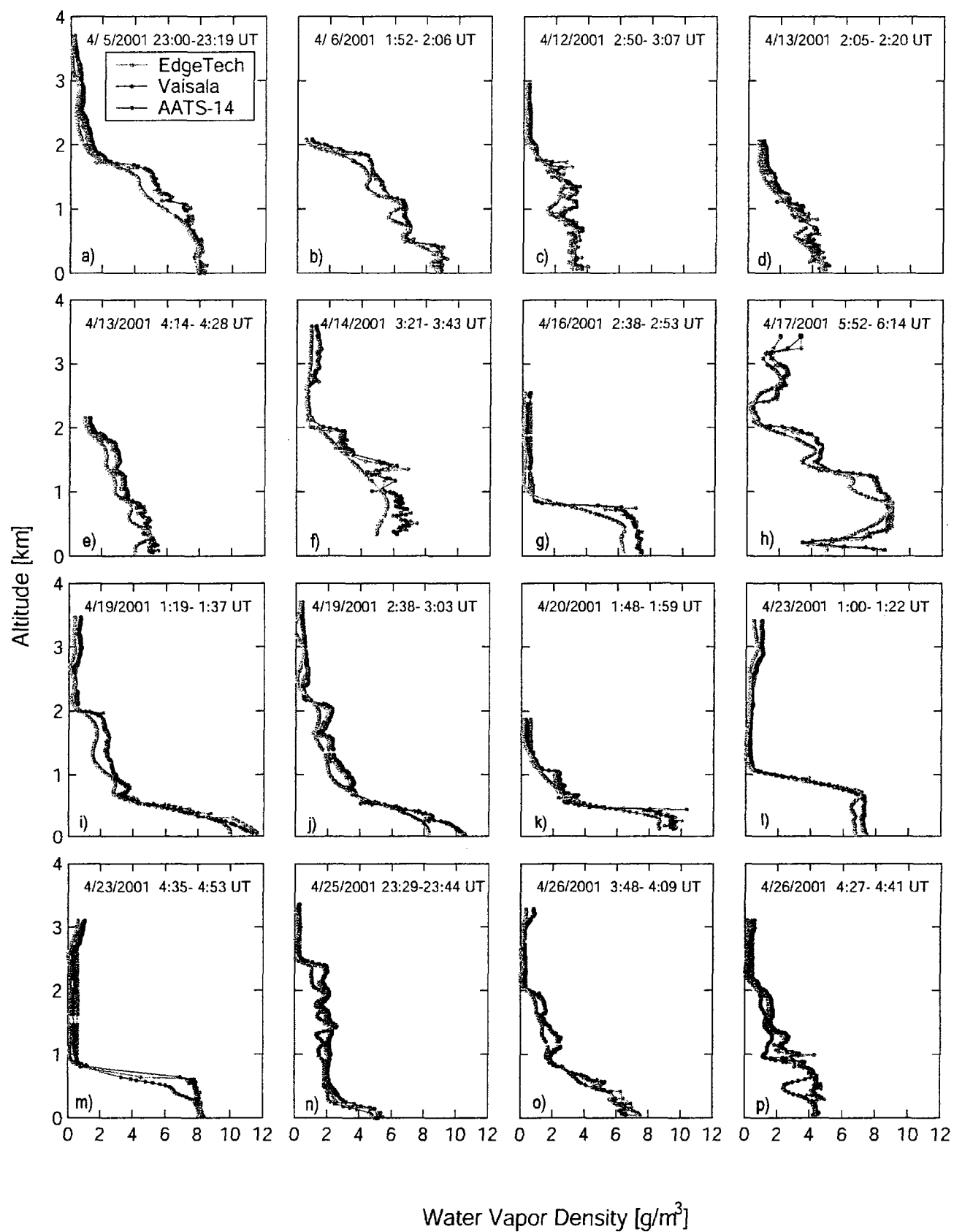


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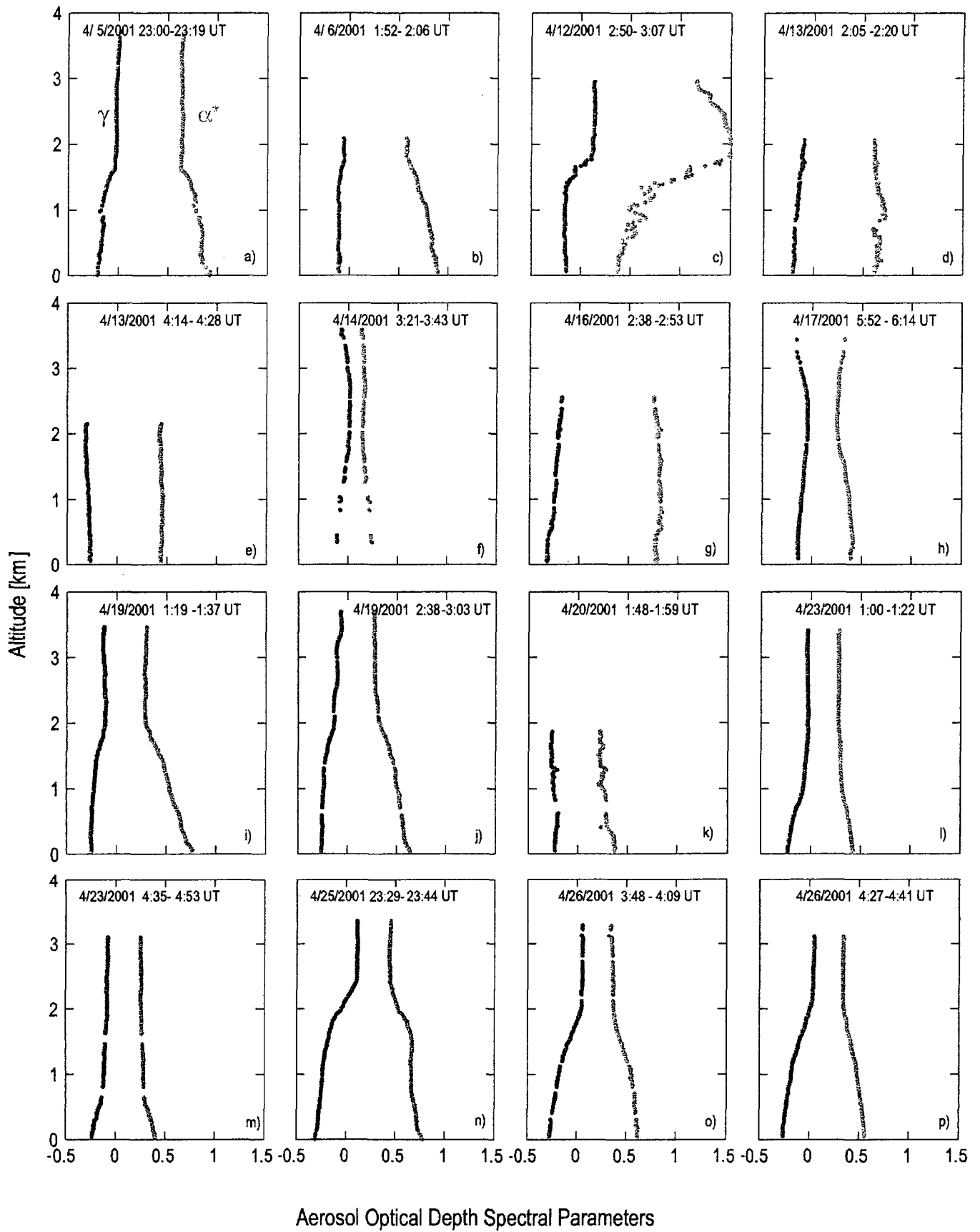


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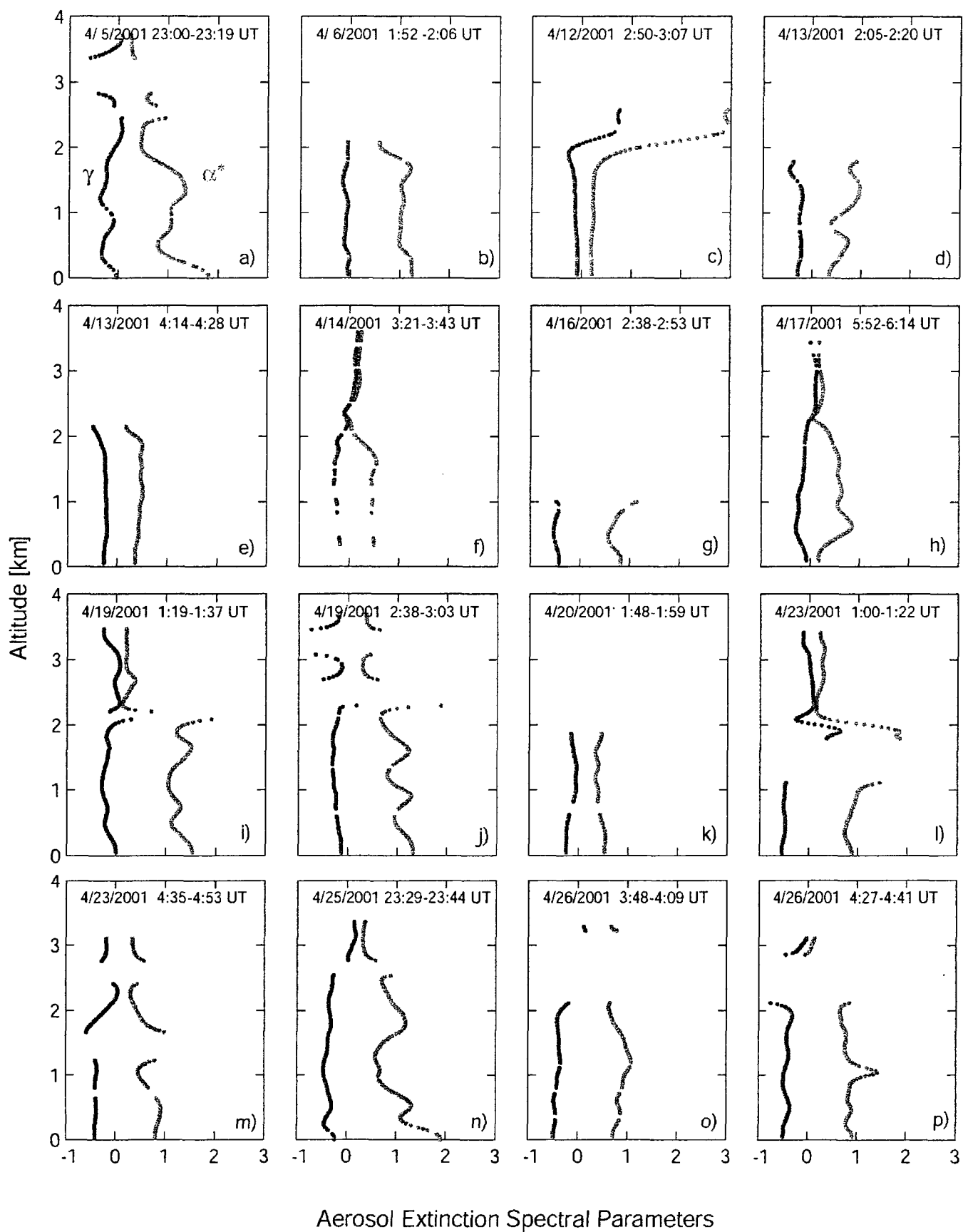


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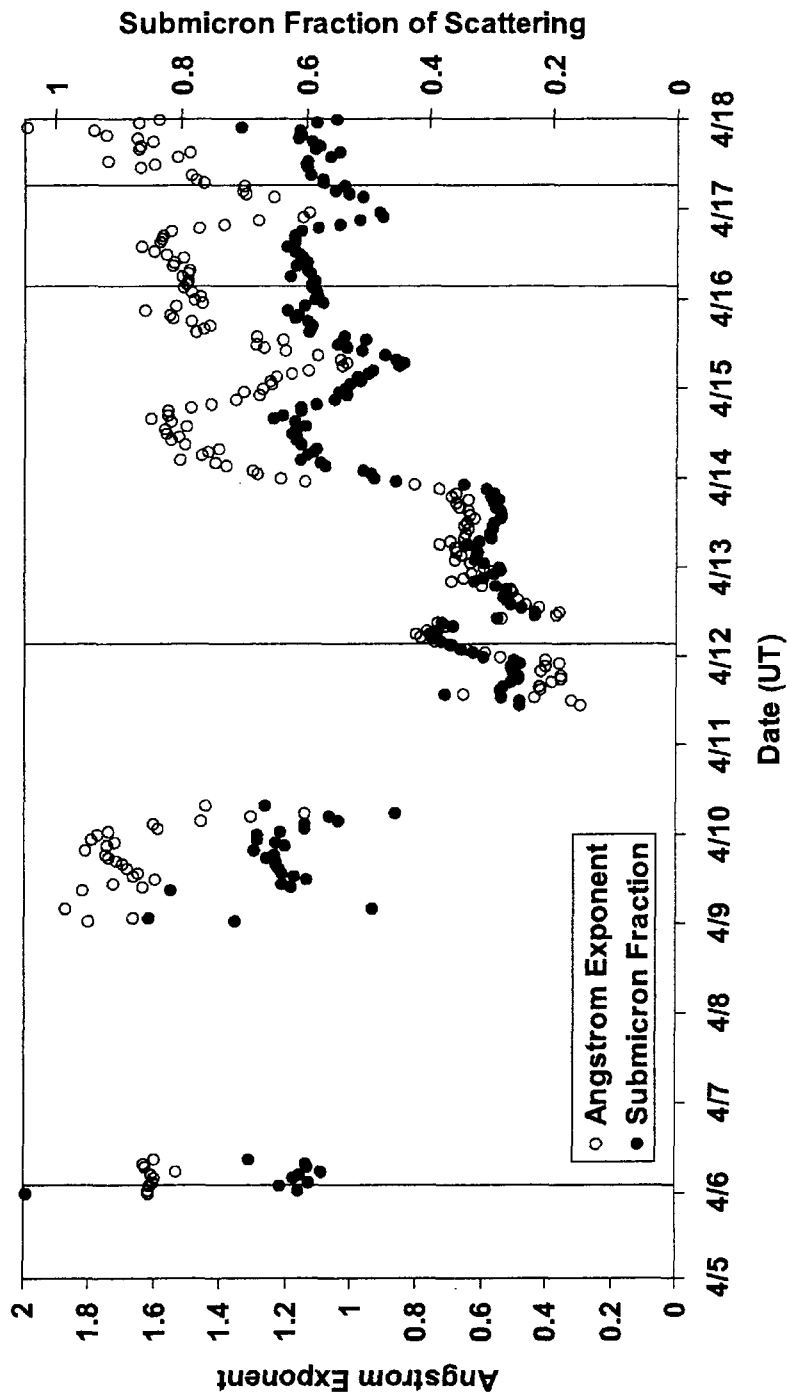


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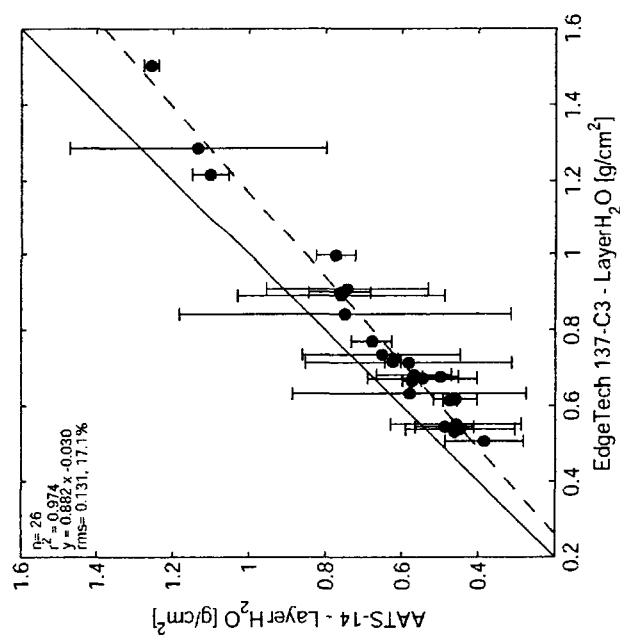
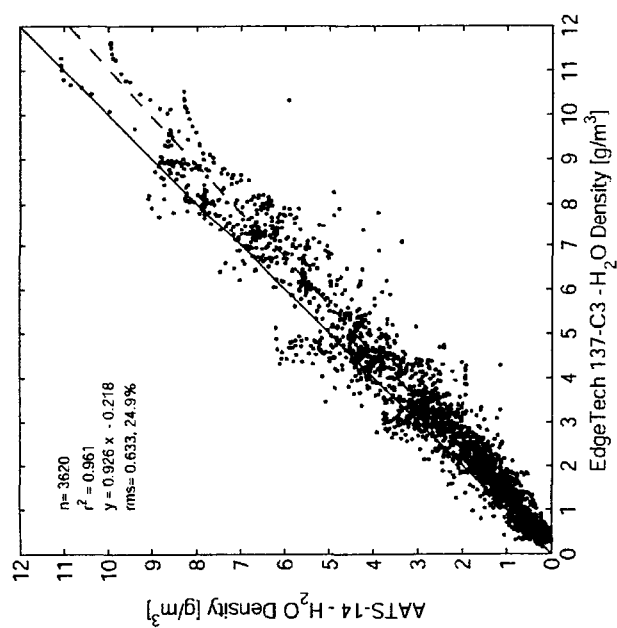
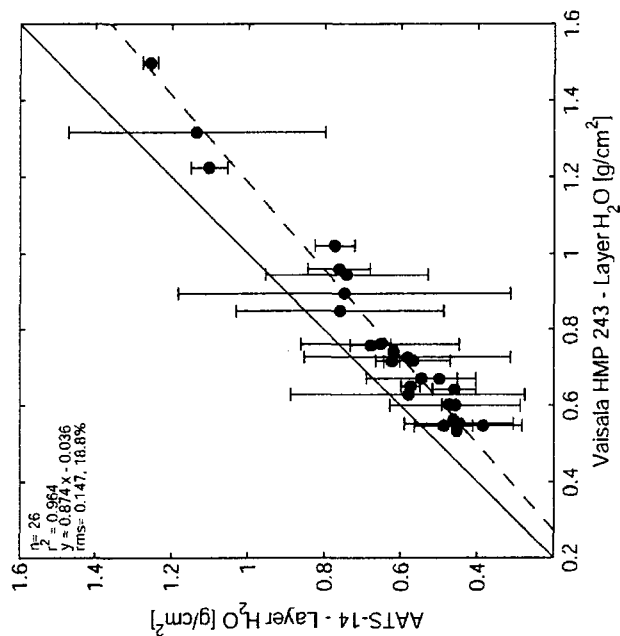
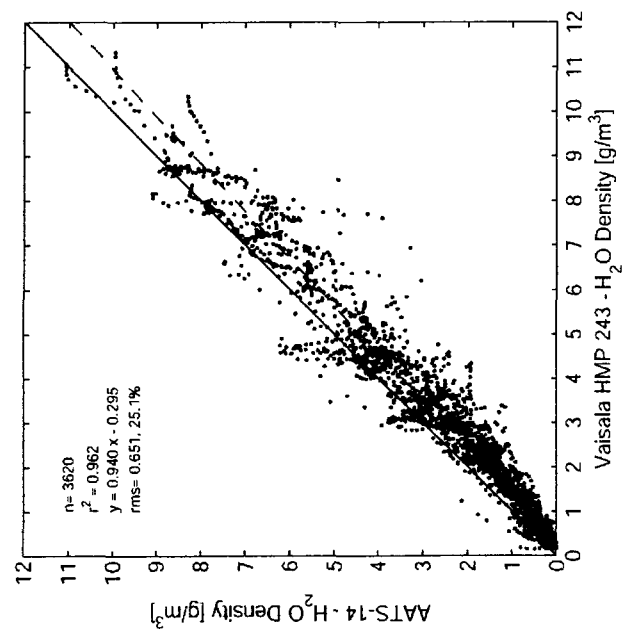


Fig 9

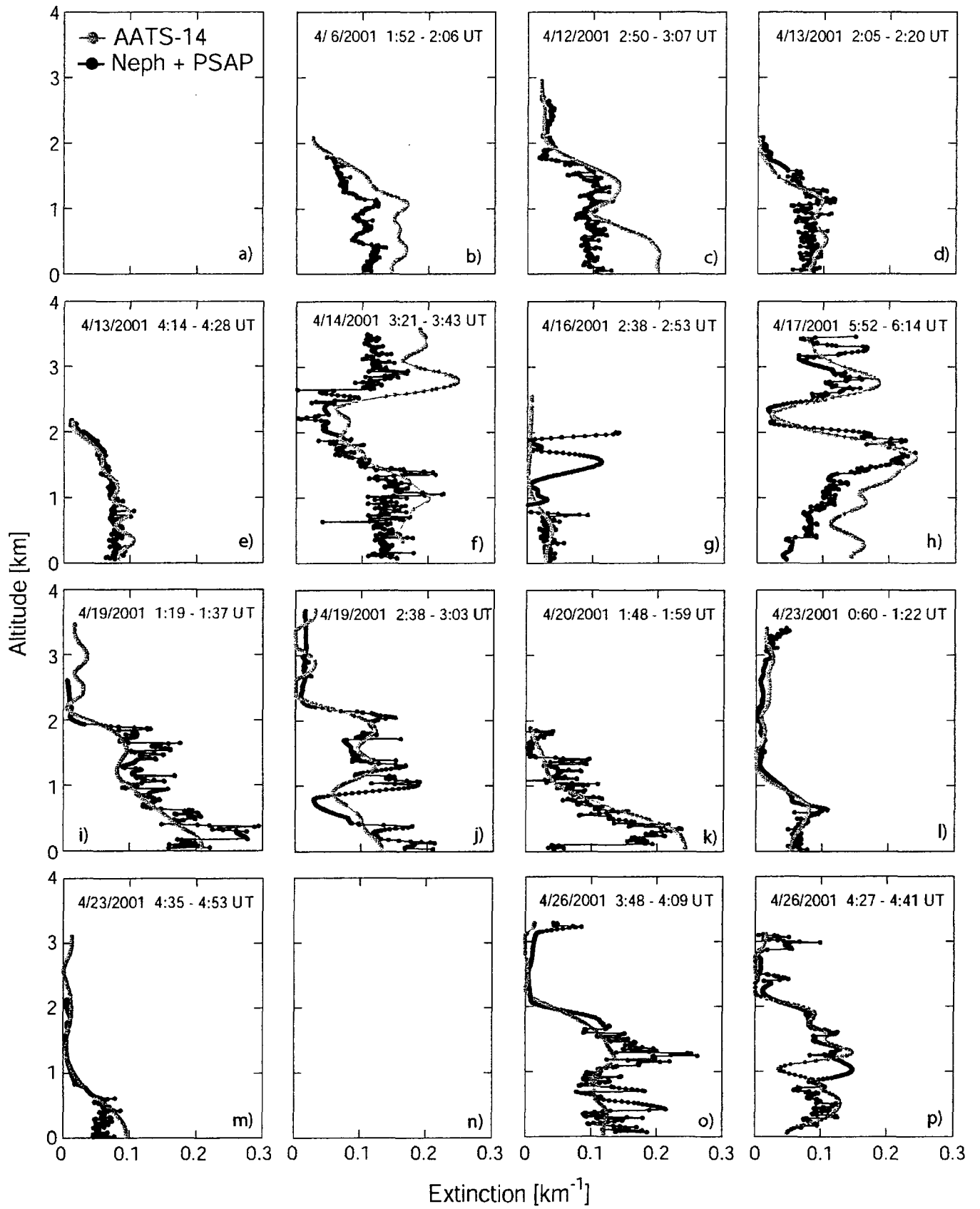


Fig 10

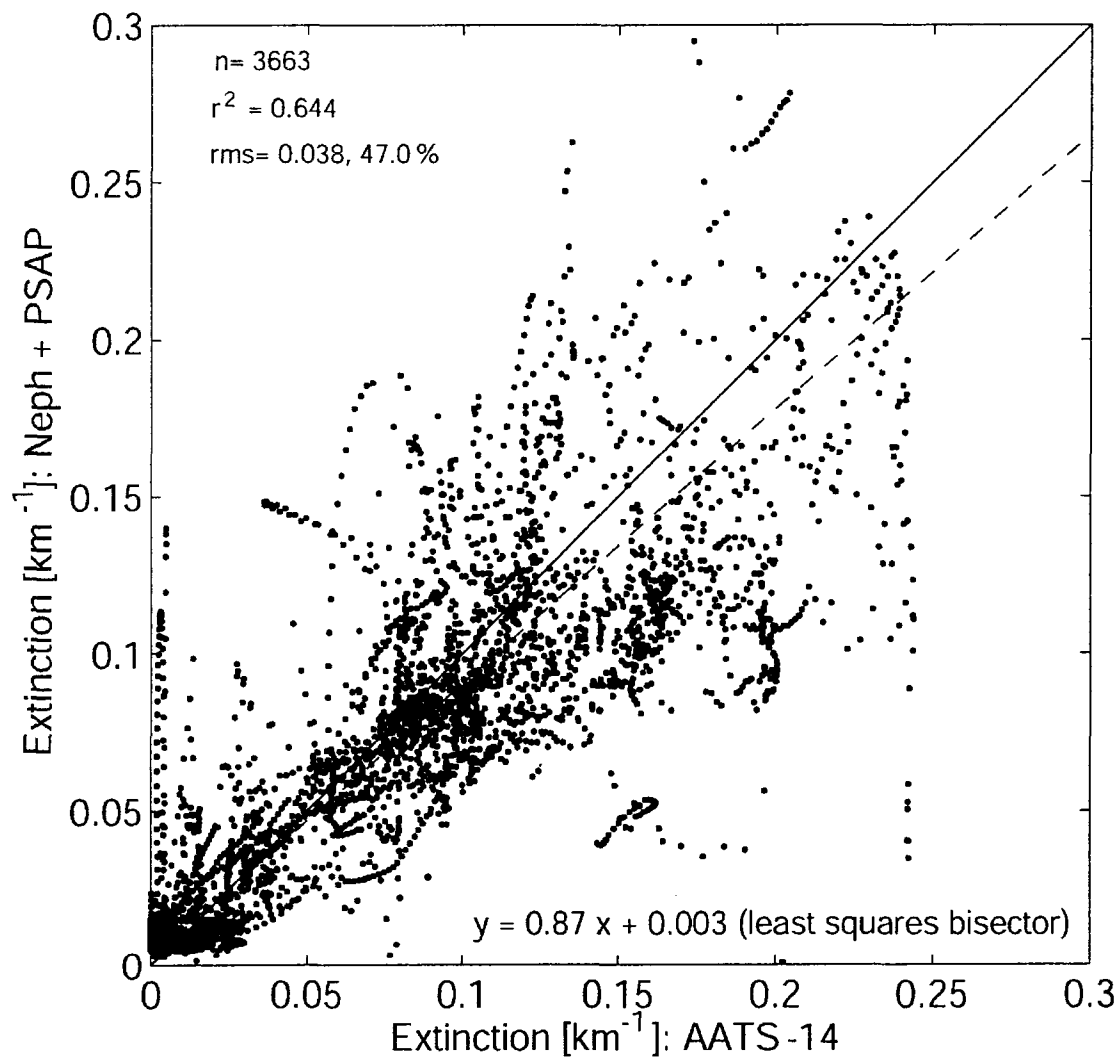


Fig 11

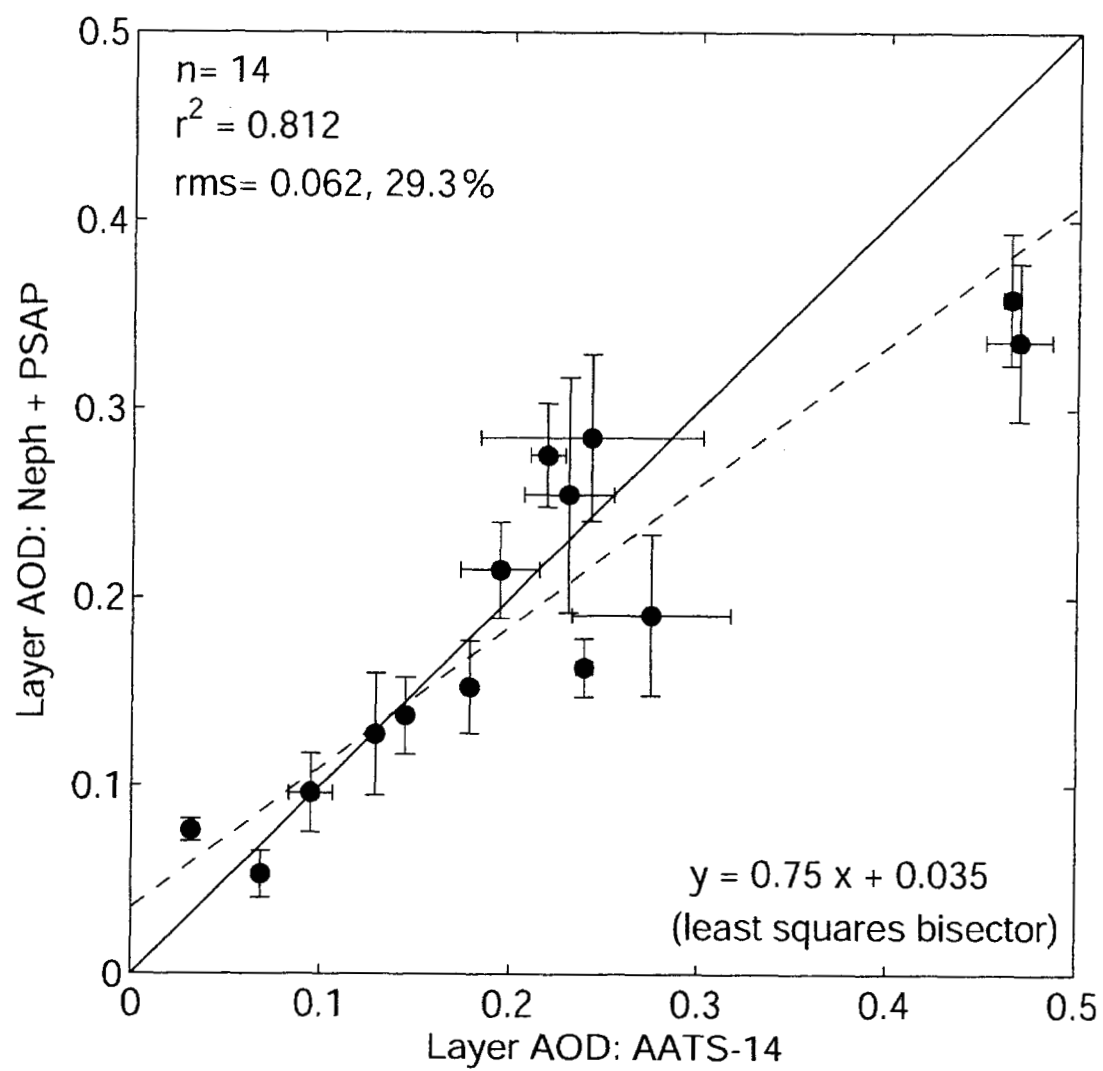


Fig 12

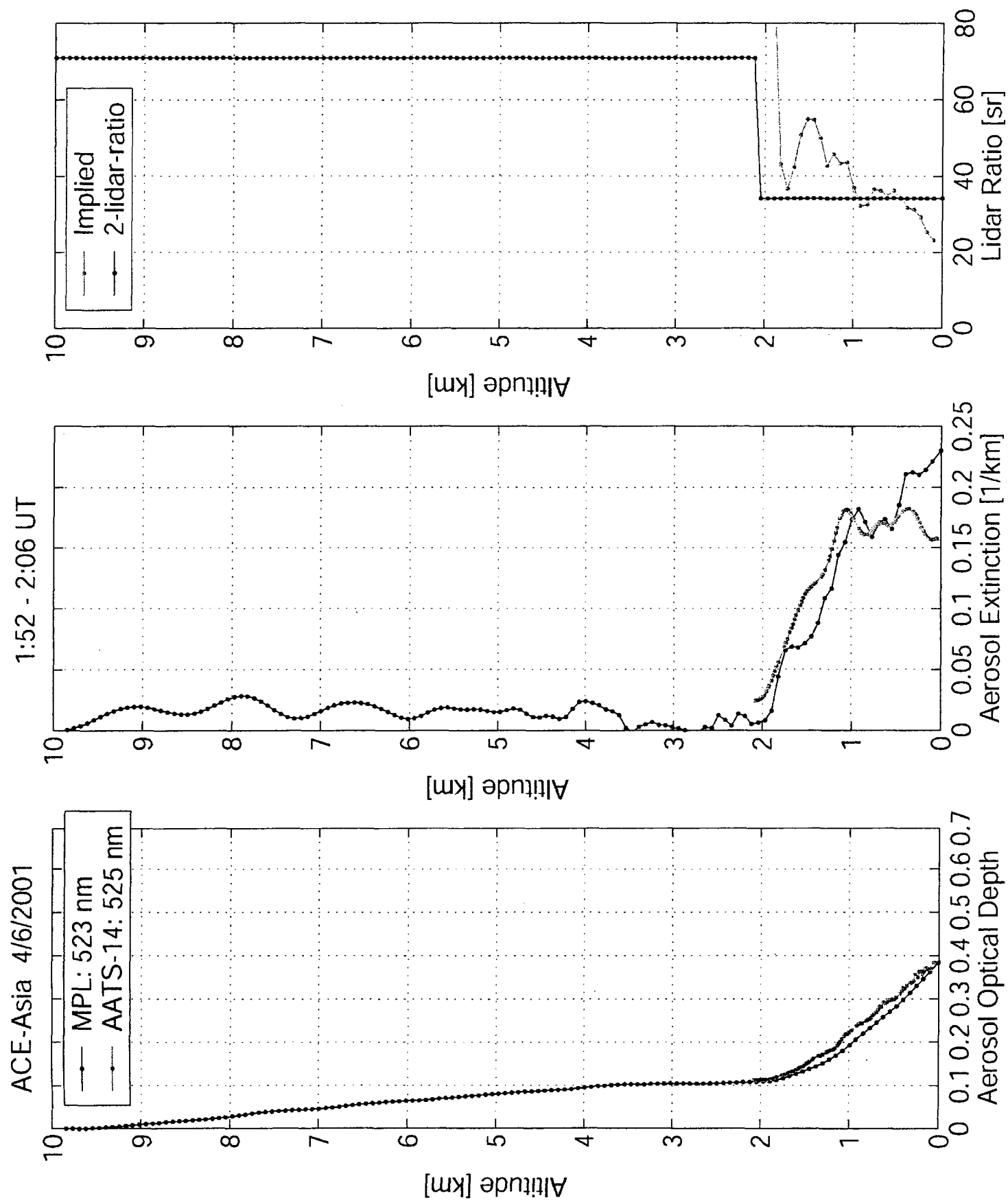


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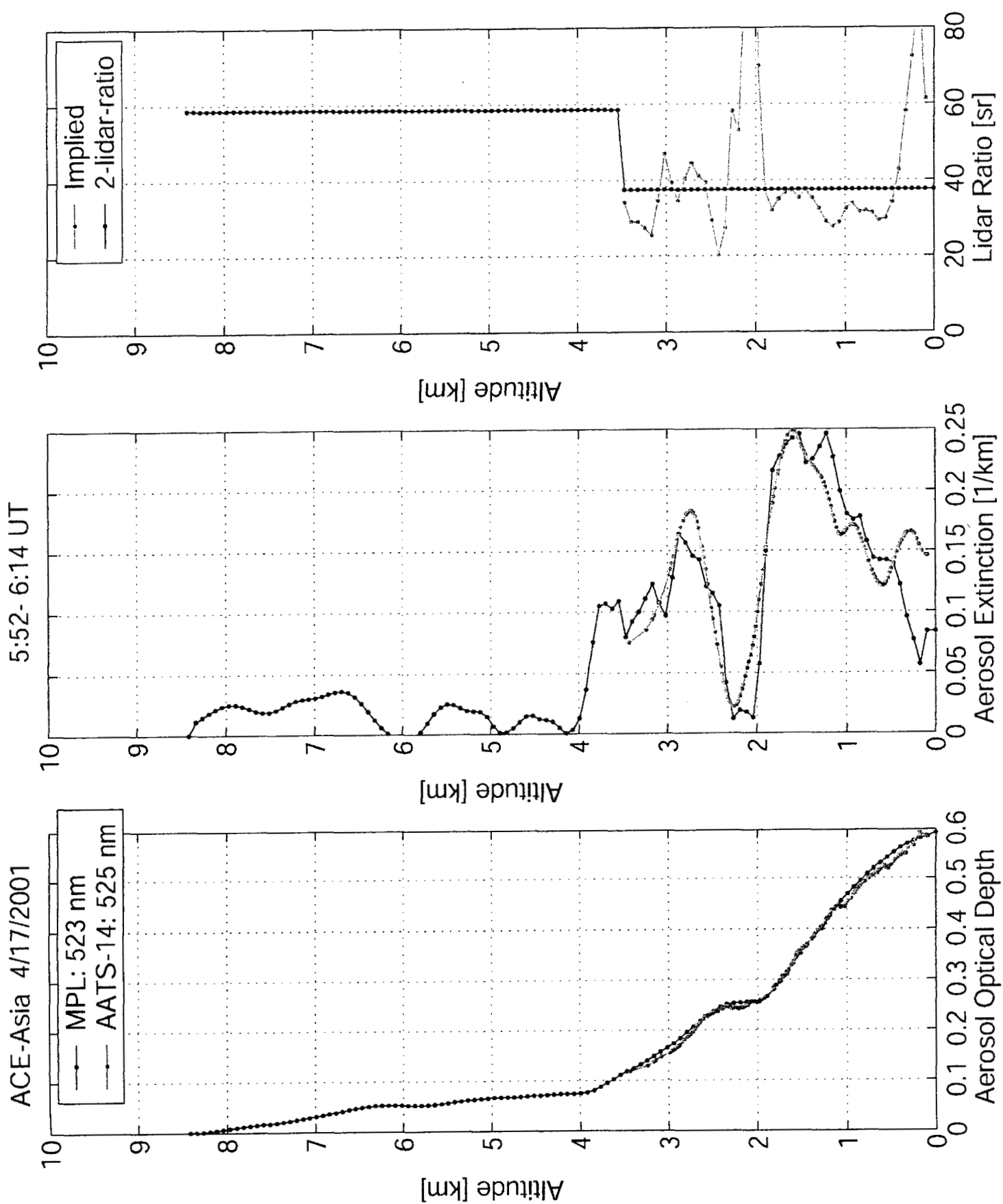


Fig 14

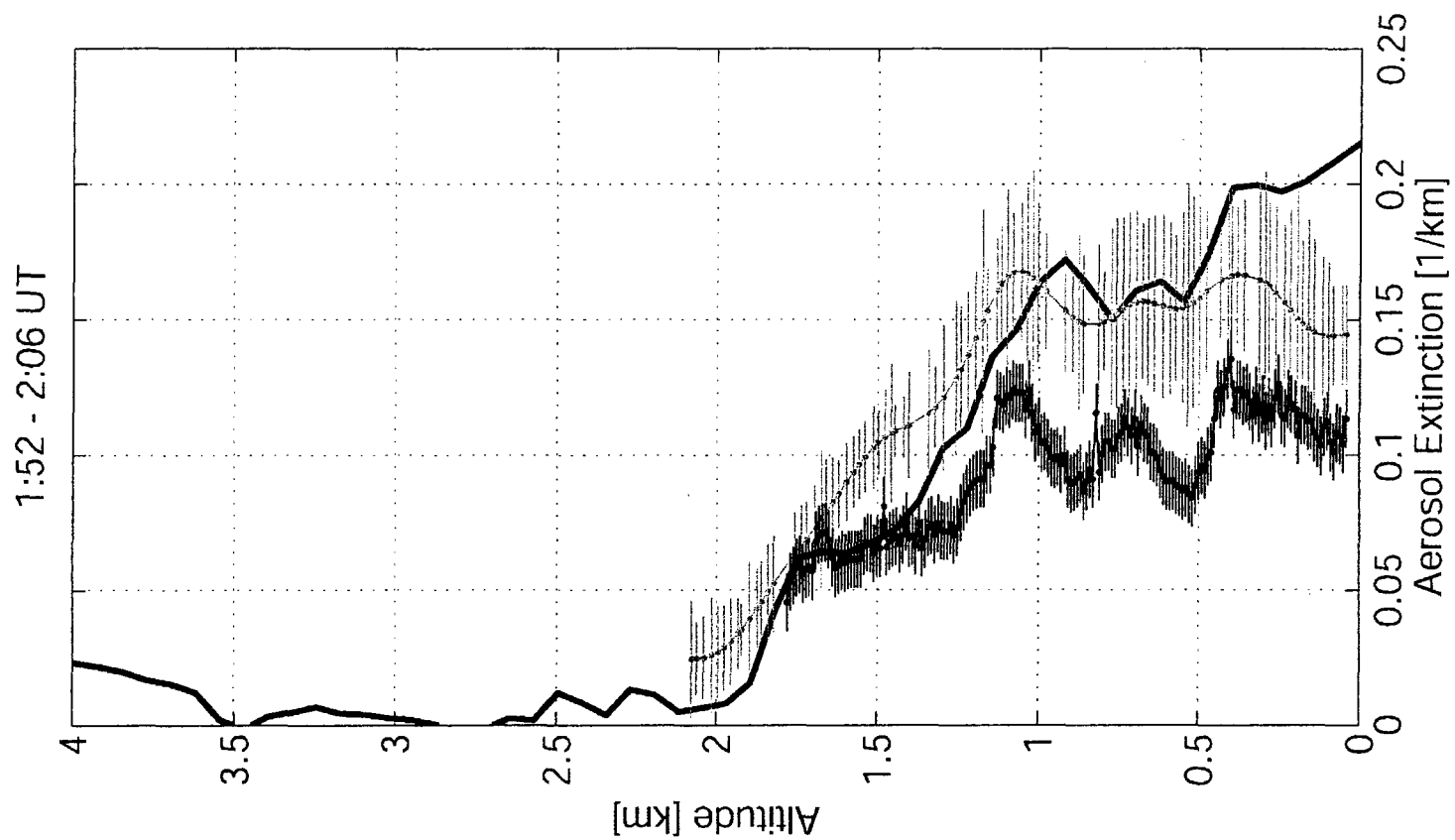
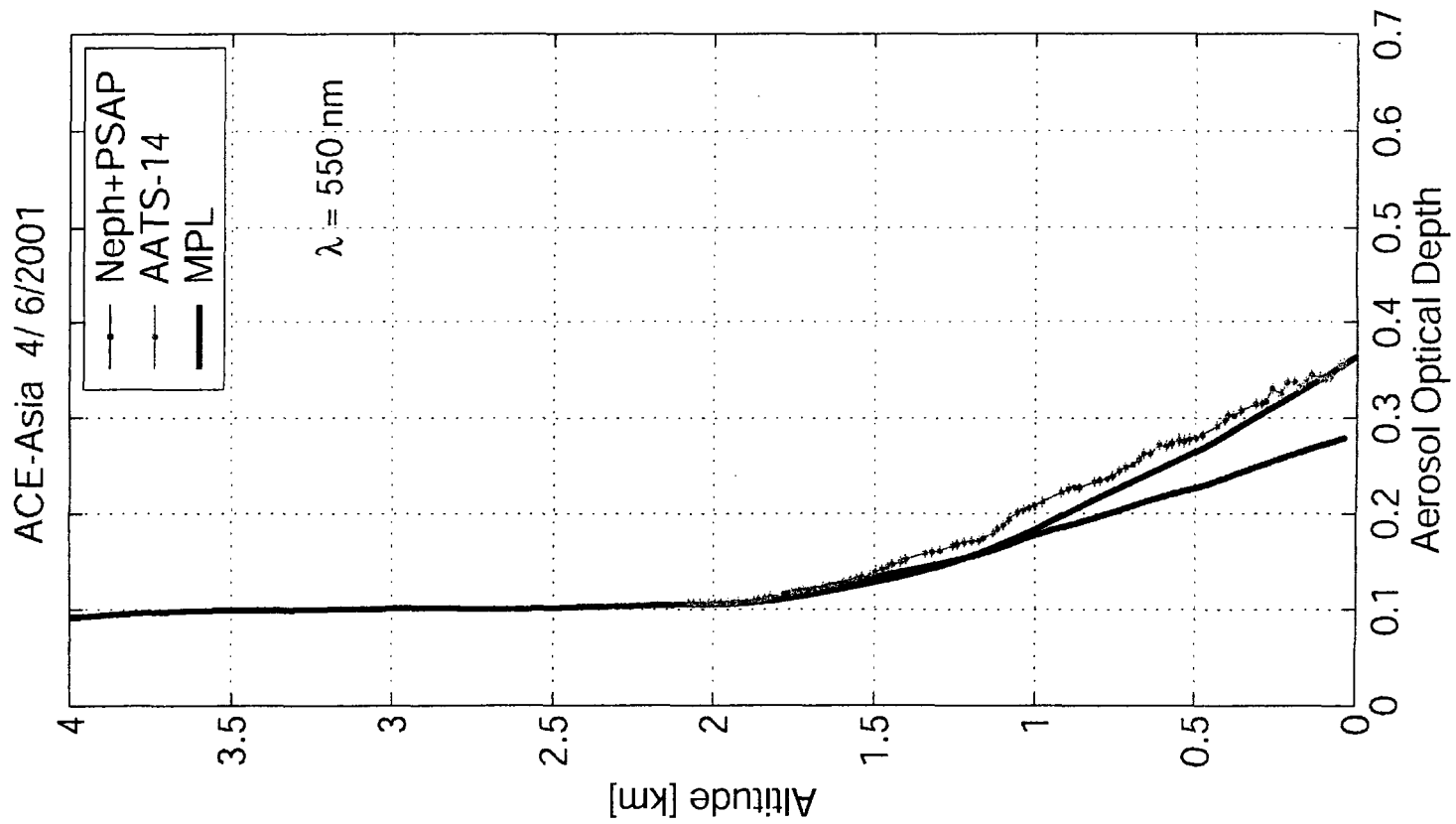


Fig 15

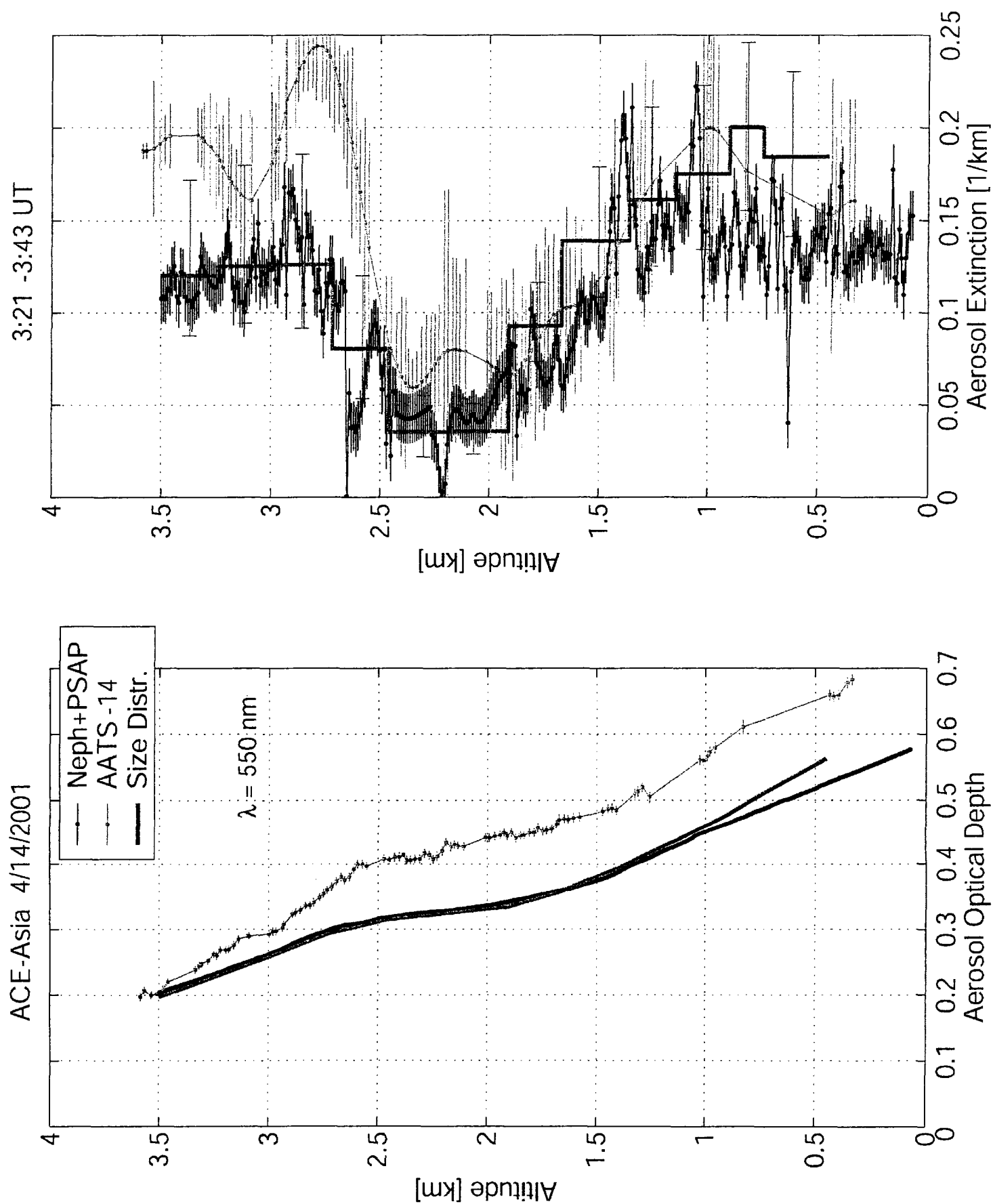


Fig 16

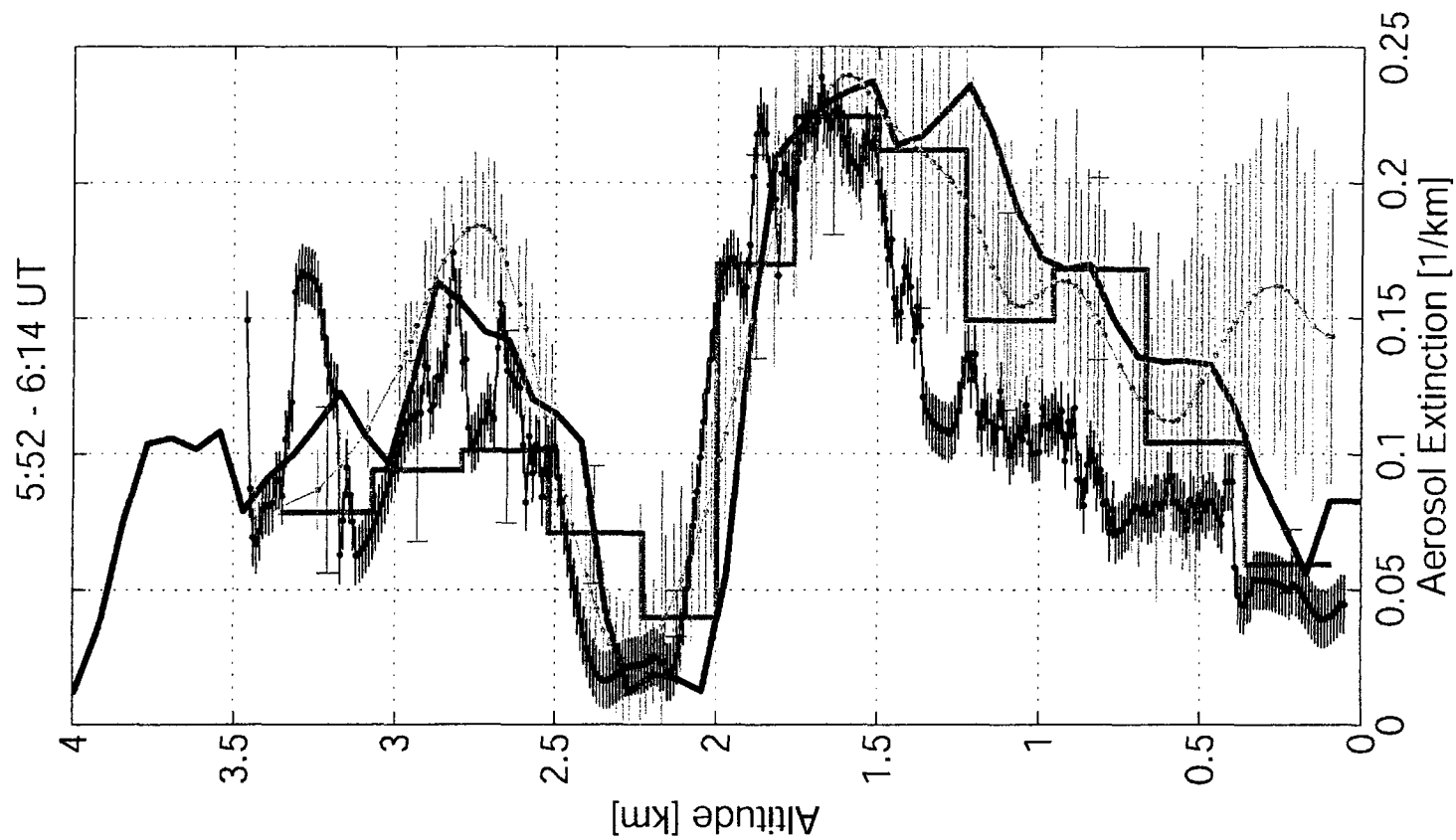
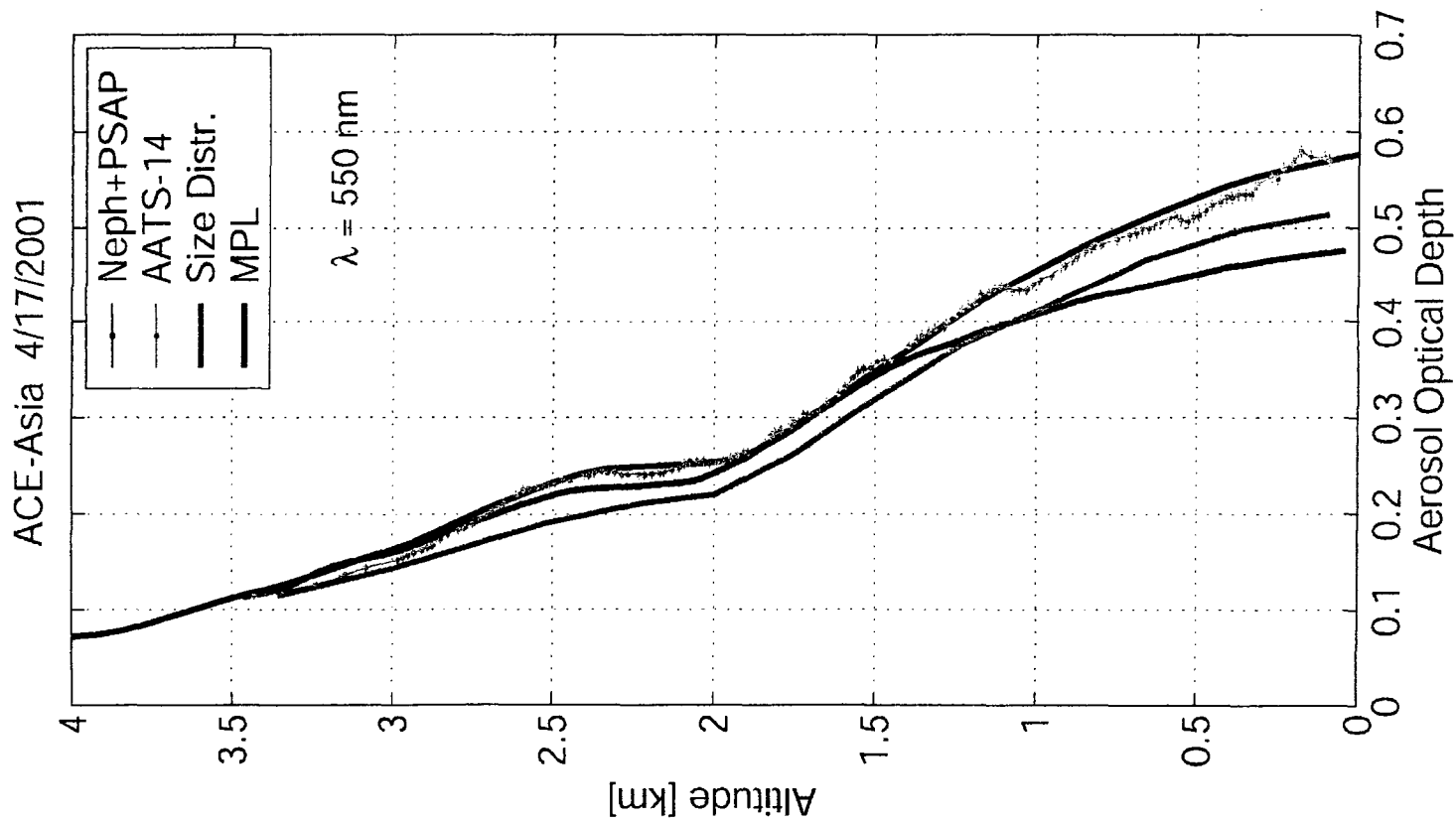


Fig 17

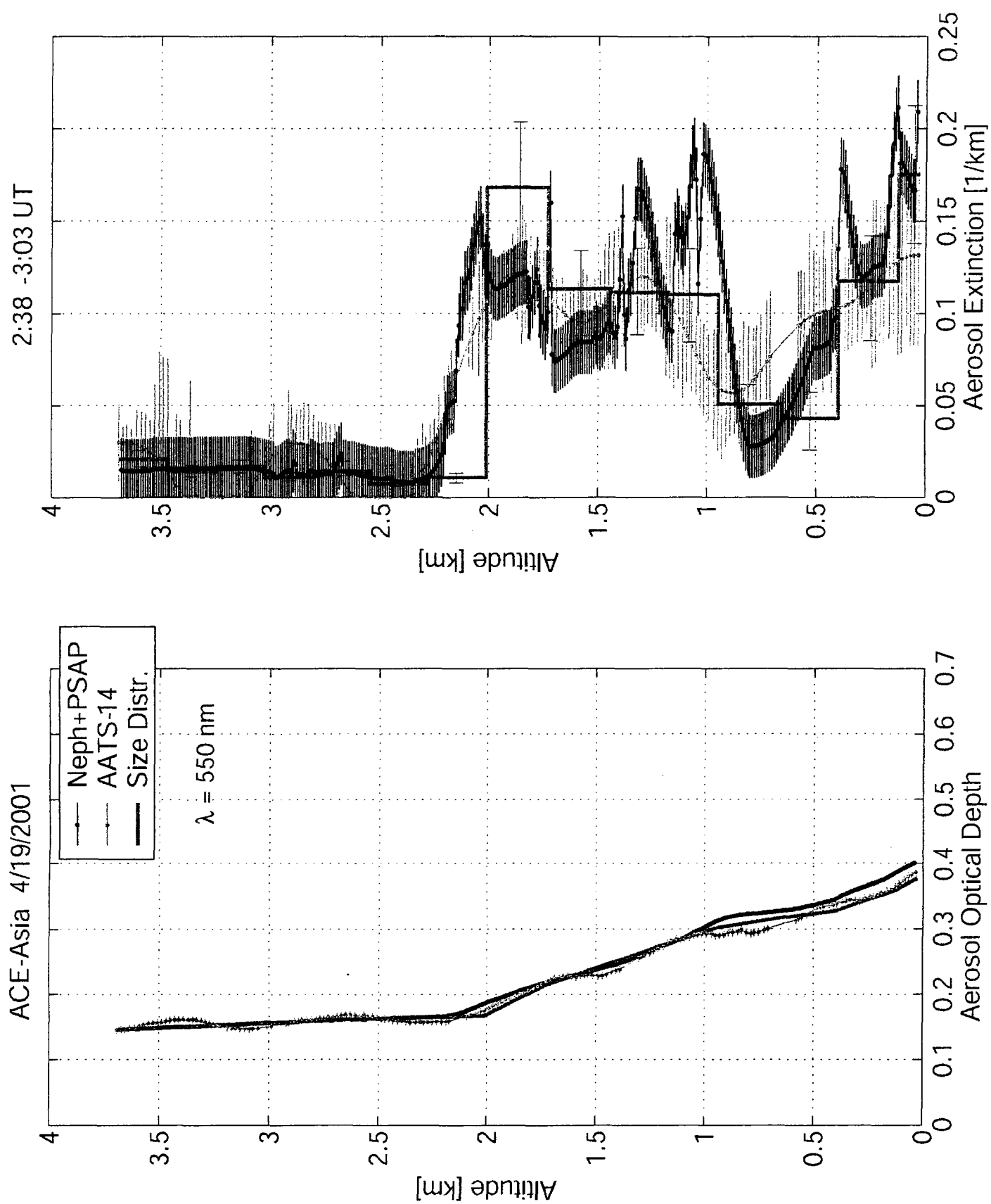


Fig 18

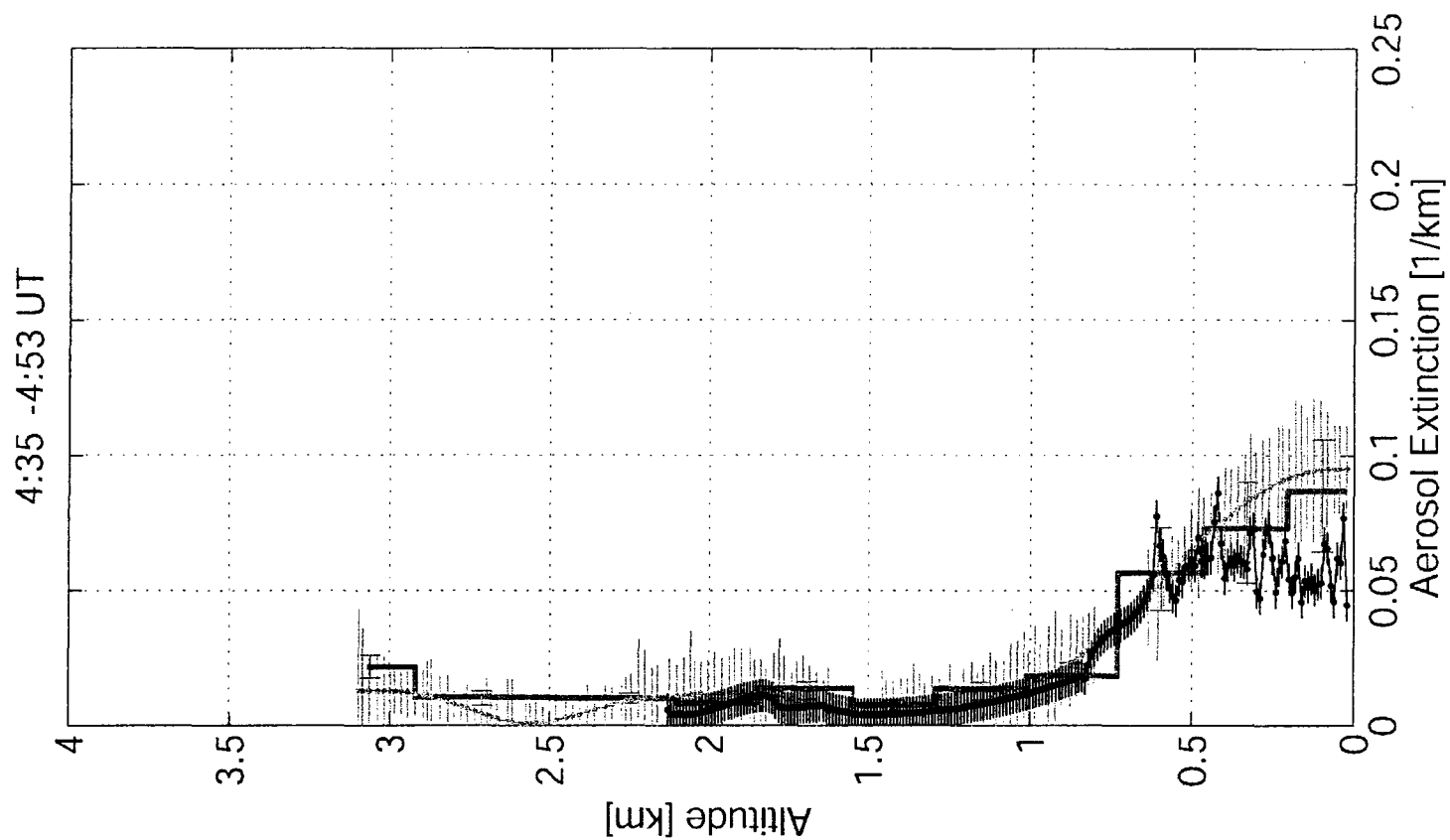
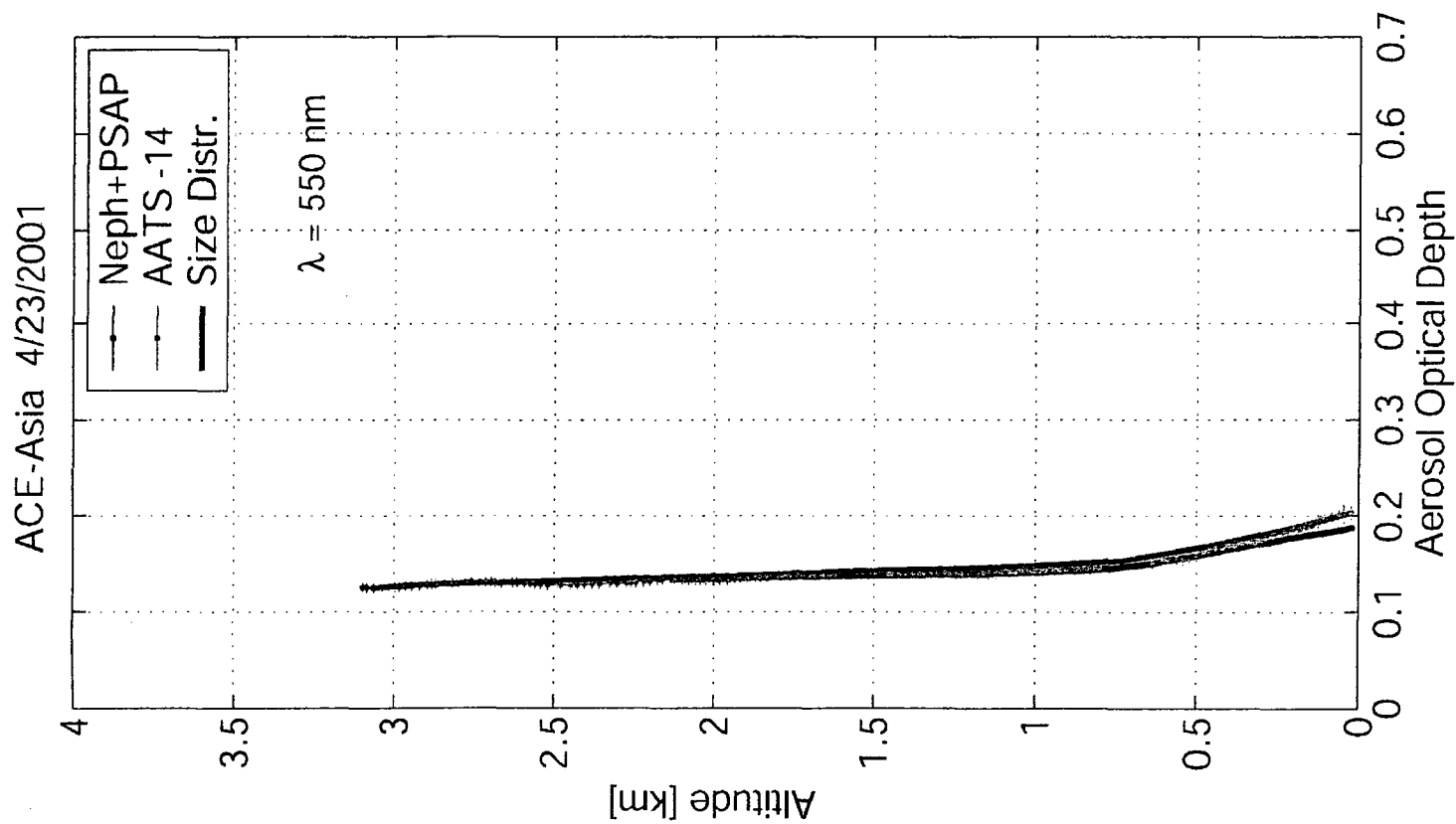


Fig 19